**Instructions for Certification Regarding Debarment, Suspension,**

**and Other Responsibility Matters**

Before completing this certification, read the instructions below which are an integral part of the certification**.**

1. By signing and submitting the certification signature page with this proposal, the prospective primary participant is providing the certification set out above.
2. The inability of a person to provide the certification required above will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out above. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.
4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participants, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR Part 9, Subpart 9.4, debarred, suspended, declared ineligible or voluntarily excluded from participation in this covered transaction.
7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled “A Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction,” provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

**Instructions for Certification Regarding Debarment, Suspension,  
and Other Responsibility Matters**

1. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not proposed for debarment under 48 CFR Part 9, Subpart 9.4, debarred, suspended, ineligible or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Non-Procurement programs.
2. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
3. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR Part 9, Subpart 9.4, suspended, debarred, ineligible or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

**Certification Regarding Debarment, Suspension, and Other Responsibility Matters**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 2 CFR 180, Participant’s Responsibilities.

1. The prospective primary participant certifies, to the best of its knowledge and belief, that it and its principals:
   1. are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any federal department or agency;
   2. have not within a three-year period preceding this certification been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
   3. are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
   4. have not within a three-year period preceding this certification had one or more public transactions (federal, state, or local) terminated for cause or default.
2. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

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| *Printed Name and Title of Authorized Administrative Entity Signatory Official* | | | |
|  | | | |
|  | | | |
| *Signature* |  |  | *Date* |

**Workforce Innovation and Opportunity Act of 2014**

**Program Year 2023 Local Area Plan Signatory Page**

**For Click Here to Enter WDB Name WDB**

Boards affirm that the Local Area Workforce Development Board and the Chief Local Elected Official(s) of the Local Area, in partnership, have developed and now submit this update to the Comprehensive, Strategic Regional and Local Area Plan in compliance with the provisions of the Workforce Innovation and Opportunity Act of 2014 and instructions issued by the Governor under authority of the Act.

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|  | *Submission Date* |  |

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| Workforce Development Board Chair |  | Chief Local Elected Official |
|  |  |
| *Typed or Printed Name* | *Typed or Printed Name* |
|  |  |
| *Typed or Printed Title* | *Typed or Printed Title* |
|  |  |
| *Signature* | *Signature* |
|  |  |
| *Date* | *Date* |

| **Elements 1-7 are the Required Elements Designated at WIOA Final Rule 679.310(g).** | **The Article/Section Where the Required Elements are Located Within the *Current* By-Laws.** |
| --- | --- |
| 1. The nomination process used by the Chief Local Elected Officials (CLEOs) to elect the Local Area Workforce Development Board (WDB) Chair and members. | Click here to enter text. |
| 1. The term limitations and how the term appointments will be staggered to ensure only a portion of membership expires in a given year. | Click here to enter text. |
| 1. The process to notify the Chief Local Elected Officials (CLEOs) of a Local Area WDB member vacancy to ensure a prompt nominee within 90 days of the vacancy. | Click here to enter text. |
| 1. The proxy and alternative designee process that will be used when a Local Area WDB member is unable to attend a meeting and assigns a designee as per the requirements of 20 CFR 679.110(d)(4). | Click here to enter text. |
| 1. The use of technology such as phone and web-based meetings, that will be used to promote Local Area WDB member participation (20 CFR 679.110(d)(5)). | Click here to enter text. |
| 1. The process to ensure Local Area WDB members actively participate in convening the workforce development system’s stakeholders, brokering relationship with a diverse range of employers, and leveraging support for workforce development activities. | Click here to enter text. |
| 1. A description of any other conditions governing appointment or membership on the Local Area WDB as deemed appropriate by the Chief Local Elected Officials (CLEOs); (20 CFR 679.310(g)(1-7)). Note: Answer may be N/A. | Click here to enter text. |

| **North Carolina Specific Requirements That Must be Specified Within the By-Laws.** | **The Article/Section Where the Required Elements are Located Within *Current* By-Laws.** |
| --- | --- |
| 1. The adopted generally accepted parliamentary procedure, such as Robert’s Rules of Order, chosen by the Local Area WDB. | Click here to enter text. |
| 1. Whether an appointee filling a vacancy will serve the remainder of the unexpired term or be appointed for a new full term. | Click here to enter text. |
| 1. The Local Area WDB’s policy assuring attendance and participation of its members. | Click here to enter text. |
| 1. Quorum requirements to be not less than 51% constituting 51% of the total filled Local Area WDB positions. | Click here to enter text. |
| 1. Any standing committees the Local Area WDB has established shall be included in the by-laws. | Click here to enter text. |
| 1. The Local Area WDB’s conflict of interest policy, which may not be any less stringent than the requirements of the Commission’s Policy Statement, shall be referenced in the by-laws. | Click here to enter text. |
| 1. The process the Local Area WDB will take when expedient action is warranted between Local Area WDB meetings, such as calling a special meeting or allowing the Executive Committee to act on behalf of the Local Area WDB. | Click here to enter text. |
| 1. Local Area WDB meetings will be held in accessible facilities with accessible materials available upon prior request. | Click here to enter text. |
| 1. The Local Area WDB will meet no less than four times per program year. | Click here to enter text. |

**PY 2023 WIOA Click Here to Enter WDB Name WDB**

**Adult/Dislocated Worker Service Provider List**

| **WIOA Adult/Dislocated Worker Service Provider**  (Organization Name, Address and Phone Number) | **Contact Person**  (Name, Title and  Email Address) | **County/Counties Served and where services are provided\***  (One-Stop, Office, and/or Both) | **Type of Organization**  (State Agency, For-profit, Non-profit, other-specify) | **Type of Contract**  (Cost Reimbursement, Fixed Price, Performance Based, Hybrid, other-specify) |
| --- | --- | --- | --- | --- |
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*(RFP Conducted: date here)*

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| ***Notes:***   * *Complete all columns.* * *\*Specify where youth services are provided: at the One-Stop Centers, the Office location provided, and/or combination. Be specific.* * *Use attachments sent separately.* |

**Directions for Completing Attachment: NCWorks Career Center System**

Complete the Attachment on the next page to describe the Local Area WDB’s One-Stop Career Center system.

**Column A**

Include each One-Stop Career Center(s)’ name and street address, host facility, phone number, and hours of operation. List each name, street address, phone number, and hours of operation for locations of additional Youth Sites, if they are not included in the One-Stop Career Centers listed.

**Column B**

Specify the type of Career Center and list all counties served by each site. Type of Center Designations: Tier 1, Tier 2, Specialized, Affiliates. If a site is Specialized, notate what type of site it is (i.e. youth).

**Column C**

List the on-site partners, identify funding source and agency name such as Title I (Adult and Dislocated Worker, Youth, Job Corps, YouthBuild, National Farmworkers and Native American Programs), Wagner-Peyser, Trade Act, Career and Technical Education. Career Center Partners should, at a minimum, reflect required WIOA partners (WIOA 121(b)(1)(B)).

**Column D**

List the Career Center Operator (agency name) and Method of Selection (Competitive, Sole Source to include if contract was extended).

**Column E**

List the WIOA Providers of Title I Adult and Dislocated Career Services (agency name) and method of selection. (Method of Selection: Competitive Procurement/Sole Source/Contract Extended).

**Column F**

Functional Manager (manages the day-to-day operations).

**Column G**

Indicate whether WIOA Title I youth services are provided onsite. List the name of the onsite provider(s). Indicate the youth services that are provided onsite.

**Column H**

Indicate additional on-site partners.

**PY 2023 WIOA** **Click Here to Enter WDB Name. WDB**

**NCWorks Career Center Locations (****Effective July 1, 2023)**

| **A.**  **One-Stop Location(s)**  ***(Address, Phone number and Hours)*** | **\*B.**  **Tier 1 or Tier 2, Specialized or Affiliate *[if Specialized, list the type of Specialized Center (i.e. youth)]*** | **C.**  **On-site Partners** | **\*\*D. Career Center Operator and Method of Selection** | **E.**  **Provider(s) of WIOA Title I Adult/DW Career Services and Method of Selection** | **F.**  **Functional Manager (*manages the day-to-day operations*)** | **G.**  **WIOA Title I Youth Services Provided Onsite (Y/N) Name of Youth Provider(s)**  ***(list youth services provided)*** | **H.**  **Additional Partners** |
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| ***Notes:***   * *\*Type of Center Designation: Tier 1, Tier 2, Specialized, Affiliates (At locations where A, DW and WP services are provided)* * *\*\* Method of Selection: Competitive Procurement, Sole Source, Contract Extended* * *See directions on the page above if needed.* * *Use attachments sent separately.* | | | | | |
| **Category** | **Name and  Business Title** | **Business Name and Address** | **Phone Number** | **Email Address** | **Term** | |
| 1. Business, Chair | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | |
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| 1. Small Business | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | |
| 1. Small Business | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | |
| 1. Labor Organization, or where none exists, other representative of employees (*Identify representative’s affiliation- select one and delete other choices*) | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | |
| 1. Labor Organization, or where none exists, other representative of employees (*Identify representative’s affiliation- select one and delete other choices*) | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | |
| 1. Joint Labor-Management, *or* union affiliated, registered apprenticeship program. *Or* where none exists, representative of registered apprenticeship program *(Identify representative’s affiliation- select one and delete other choices*) | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | |
| 1. Labor or Apprenticeship or Community Based Organization or organizations addressing needs of eligible youth *(Identify choice of category represented - select one and delete other choices)* | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | |
| 1. Adult Education and Literacy eligible under WIOA Title II | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | |
| 1. Higher Education | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | |
| 1. Vocational Rehabilitation Program | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | |
| 1. Economic Development | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | |
| 1. Wagner-Peyser Act | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | |

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| ***Notes:***   * *Use the form provided and identify categories as indicated on the form. Do not change required category names, but clearly indicate the category.* * *In obtaining nominations and making appointments, follow Workforce Innovation and Opportunity Act Section 107(b)(2) and U.S. Department of Labor Training and Employment Guidance Letter (TEGL) 27-14.* * *If Local Area WDB has more than 19 total members: 1) add lines to chart and complete all columns for additional members; 2) ensured required percentages are still met, 3) do not count individuals serving dual roles more than once.* |

By submission of this form, the WDB certifies its compliance with the appointment and nomination process of business representatives from among local business organizations and business trade associations. [WIOA Section 107(b)(2)(A)]

In order to support the attainment of a secondary school diploma or its recognized equivalent, entry into postsecondary education, and career readiness for participants, the WIOA Youth Program shall provide elements consisting of the following program elements.

20 CFR §681.470 states that it is not required for local programs to use funds for each program element. Local Area WDB programs may leverage partner resources to provide program elements. However, if the program is not funded with WIOA youth funds, the Local Area WDB must have an agreement in place with a partner organization to ensure that the program element will be offered. If offered by a partner, the program element must be connected and coordinated with the WIOA youth program.

Please denote whether the required WIOA Program Element will be WIOA funded by the Local Area WDB, provided by referral, or both. Specify the provider(s) in the referral section.

| **WIOA Youth Program Elements** | **WIOA Funded**  **(Specify Provider)** | **Referral By Agreement**  **(Specify Provider)** |
| --- | --- | --- |
| 1. Tutoring, study skills training, instruction, and evidence-based dropout prevention and recovery strategies that lead to completion of the requirements for a secondary school diploma or its recognized equivalent (including a recognized certificate of attendance or similar document for individuals with disabilities) or for a recognized postsecondary credential. | Click here to enter text. | Click here to enter text. |
| 1. Alternative secondary school services, or dropout recovery services, as appropriate. | Click here to enter text. | Click here to enter text. |
| 1. Paid and unpaid work experiences that have as a component academic and occupational education, which may include (i) summer employment opportunities and other employment opportunities available throughout the school year; (ii) pre-apprenticeship programs; (iii) internships and job shadowing; and (iv) on-the-job training opportunities. | Click here to enter text. | Click here to enter text. |
| 1. Occupational skill training, which shall include priority consideration for training programs that lead to recognized postsecondary credentials that are aligned with in-demand industry sectors or occupations in the Local Area involved. | Click here to enter text. | Click here to enter text. |
| 1. Education offered concurrently with and in the same context as workforce preparation activities and training for a specific occupation or occupational cluster. | Click here to enter text. | Click here to enter text. |
| 1. Leadership development opportunities, which may include community services and peer-centered activities encouraging responsibility and other positive social and civic behaviors, as appropriate. | Click here to enter text. | Click here to enter text. |
| 1. Supportive Services. | Click here to enter text. | Click here to enter text. |
| 1. Adult mentoring for the period of participation and a subsequent period, for a total of not less than 12 months. | Click here to enter text. | Click here to enter text. |
| 1. Follow-up services for not less than 12 months after the completion of participation, as appropriate. | Click here to enter text. | Click here to enter text. |
| 1. Comprehensive guidance and counseling, which may include drug and alcohol abuse counseling and referral, as appropriate. | Click here to enter text. | Click here to enter text. |
| 1. Financial literacy education. | Click here to enter text. | Click here to enter text. |
| 1. Entrepreneurial skills training. | Click here to enter text. | Click here to enter text. |
| 1. Services that provide labor market and employment information about in-demand industry sectors or occupations available in the Local Area WDB, such as career awareness, career counseling and career exploration services. | Click here to enter text. | Click here to enter text. |
| 1. Activities that help youth prepare for and transition to postsecondary education and training. | Click here to enter text. | Click here to enter text. |

**PY 2023 WIOA Click Here to Enter WDB Name. WDB**

**Youth Service Provider List**

| **WIOA Youth Service Provider**  (Organization Name, Address and Phone Number) | **Contact Person**  (Name, Title and  Email Address) | **County/Counties Served and where services are provided\*\***  (One-Stop, Office, Both) | **Type of Organization**  (State Agency, For-profit, Non-profit, other-specify) | **Type of Contract**  (Cost Reimbursement, Fixed Price, Performance Based, Hybrid, other-specify) |
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| ***Notes:***   * *Complete all columns.* * *Be specific where Youth Services are provided: at the One-Stop Centers, the Office location provided, or combination.* * *Use attachments sent separately.* |