



NC DEPARTMENT
of COMMERCE
RURAL ECONOMIC
DEVELOPMENT



NCDHHS



**NORTH
CAROLINA
HOUSING**
FINANCE AGENCY

This is the FINAL Version of the NC 2024 Annual Action Plan substantial amendment as approved by the U.S. Department of Housing and Urban Development (HUD) in October 2024. This substantial amendment revised core HUD formula program areas and added the Emergency Solutions Grant (ESG) Rapid Unsheltered Survivor Housing (RUSH) Program for Hurricane Helene Recovery. The document will be posted for public review after HUD approval on the NC Commerce website at www.commerce.nc.gov.

Substantial Amendment to the North Carolina 2024 Annual Action Plan (AAP)

2021-2025 NC Consolidated
Plan Year 4

This substantial amendment is to amend core programs and add the Emergency Solutions Grant (ESG) Rapid Unsheltered Survivor Housing (RUSH) Program for Hurricane Helene Recovery.

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The North Carolina 2024 Annual Action Plan (AAP) is the fourth for the 2021-2025 NC Consolidated Plan. As part of the strategies and goals in the 2024 AAP, NC continues to focus on housing, community development, and related services such as emergency housing, transportation, and utility payments. To accomplish the state's goals, NC has prioritized state agency coordination to improve state housing policy and focusing federal and state funding sources. The amendment adds a \$3 million RUSH-ESG Disaster Relief Grant for Helene impacted areas.

The consolidated planning process is led by the NC Plan Partners which includes the following agencies: Rural Economic Development Division (REDD) of the North Carolina Department of Commerce, the Aging and Adult Services Division of the North Carolina Department of Health and Human Services (NC DHHS), the HIV Care Program of NCDHHS, and the North Carolina Housing Finance Agency (NCHFA). In addition to these agencies, North Carolina's disaster relief and mitigation efforts are administered by the North Carolina Office of Recovery and Resiliency (NCORR) of the NC Department of Public Safety. The NC Department of Environmental Quality (NC DEQ) also contributes as a subrecipient of the Community Development Block Grant (CDBG) program.

In 2024, NC received the following amounts for the Community Planning and Development (CPD) formula programs: CDBG \$46,272,979.00; Emergency Solutions Grant (ESG) \$5,327,441; HOME Investment Program (HOME) \$15,722,330; Housing Opportunities for Persons with AIDS (HOPWA) \$4,126,940; and the Housing Trust Fund (HTF) 4,415,315. In addition to the core CPD programs, in 2023, NC awarded 12 projects totaling \$43,718,306, from HOME American Rescue Plan funding and anticipates awarding up to \$14,930,000 in 2024.

The Recovery Housing Program was \$778,974 from FY2021, \$806,625 from FY2022, and \$1,054,616 from FY2023. NC will also continue to implement the CARES Act funds for CDBG-CV and ESG-CV during 2023 but does not anticipate receiving new funds. Please note that ESG-CV received additional funds during 2022 that were distributed in 2023.

North Carolina's programs continue to be impacted by the continued recovery from recent natural disasters and COVID19 as well as high construction industry costs. These events led to labor shortages, supply chain issues, and high construction and housing costs. These challenges have primarily impacted the completion of rental and homebuyer new construction and rehabilitation projects. A few projects

have required additional funds to address financing gaps. As a result, projects have had contractual delays leading to an increase in extensions to complete the projects. While these pressures appear to be stabilizing and their impacts lessening, North Carolina anticipates continuing to respond to these challenges during 2024 and will continue to examine housing needs and gaps accordingly.

The NC AAP organization is determined by the federal online HUD IDIS system, through which all states and direct recipients of HUD Community Planning & Development funds create a Consolidated Plan, AAPs, and a yearly Consolidated Annual Performance and Evaluation Report (CAPER) each year. The NC AAP is generated through IDIS, then downloaded to make it available to the public, program participants, and other interested parties. This current 2024 AAP consists of this Executive Summary as well as two other sections: the Process Section, which describes the public input process for the 2024 program year, and the AAP, which contains each Program's method of distribution for the year, anticipated goals, and funding availability, as well as narrative sections addressing housing, special needs and homelessness.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items, or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The state has three basic goals:

- To provide decent and affordable housing;
- To provide a suitable living environment; and
- To expand economic opportunity.

Additionally, NC identified eight priorities in the five-year NC Consolidated Plan are: (1) provide housing for non-homeless persons with special needs, (2) Housing for household under 30% area median income (AMI), (3) housing for households 31-60% AMI, (4) housing for households 61-80% AMI (5) housing for persons experiencing homelessness, (6) assist with neighborhood stabilization, (7) respond to COVID-19, and (8) address non-housing community development needs. The priorities will be addressed through the identified activities for programs designed to address needs and meet priorities.

For information regarding specific programs, please visit the organization websites:

- NC Department of Commerce, Rural Economic Development Division – www.commerce.nc.gov
- North Carolina Housing Finance Agency – www.nchfa.com
- HIV Care Program – <http://epi.publichealth.nc.gov/cd/hiv/program.html>
- Division of Aging - <https://www.ncdhhs.gov/divisions/aging-and-adult-services/nc-emergency-solutions-grant>

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The State of North Carolina evaluates its performance annually by meeting the goals of the strategic plan and the Action Plan. The North Carolina Consolidated Annual Performance & Evaluation Report (CAPER) is submitted to HUD each year, 90 days after the close of the Consolidated Plan program year. The FY2023 CAPER, however, will be submitted in May 2023. The most recent past performance and evaluation reports can be downloaded from the Rural Economic Development Division (REDD) NC Commerce website at www.commerce.nc.gov.

The goals chosen by the grantees of the HUD CPD formula program are formed by the housing needs study and market analysis presented in the 2021-2025 NC Consolidated Plan. Additionally, COVID-19 has highlighted housing stability needs. Each program uses HUD mandated program parameters, historical grantee data, and grantee funding requests also guide the needs. Unlike the other programs, however, CDBG brings additional challenges that impact the program's performance.

In NC, the General Assembly determines the priorities and allocation percentages of the State CDBG Program. For the 2021-2022 biennium, the General Assembly set aside funds for economic development, neighborhood revitalization, rural community development, and infrastructure. In addition to this action, the CDBG program regulations require that no less than seventy percent of the aggregate of the fund expenditures shall be for activities that meet the national objective of benefiting low-and moderate-income persons. NC consistently exceeds this goal in the CDBG program. Presently, the CDBG-CV program funds have benefited 92% LMI households/persons. The 2021 allocation was 100% awarded by November 2022. The 2022 allocation is anticipated to be 100% prior to the obligation deadline as well. The State CDBG has been expanding its capacity to provide more monitoring and technical assistance to grantees. The CDBG Director position was filled in November 2022 and two positions will be filled during 2023.

Of the total funding for housing activities to be undertaken in 2024, funds from ESG and HOPWA will address high (immediate) priority needs, funds from HOME, HTF and CDBG will address medium (transitional to long-term) priority needs. The anticipated distribution of funding by agency and by priority need category is determined annually based on the five-year goals stated in the NC Consolidated Plan.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The State of North Carolina has developed a North Carolina Consolidated Plan Citizen Participation Plan. This plan is a guidance document to ensure and encourage public participation throughout the

Consolidated Planning process. It sets forth the State’s policies and procedures for citizen participation. The plan can be downloaded from the Commerce website at www.commerce.nc.gov.

The State of North Carolina encourages citizens to participate in the planning, implementation, and assessment of the Consolidated Plan, Annual Action Plans, and CAPER for HUD Community Planning and Development programs. This plan describes how this participation is encouraged.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

A virtual public hearing was held on April 16, 2024, for the 2024 NC Annual Action Plan. The draft document posted on the NC Commerce and NC Housing Finance Agency websites beginning April 2, 2024, and closing on May 1, 2024, for public review and comment. The State received no public comments. The Citizen Participation Summary is attached to this plan.

6. Summary of comments or views not accepted and the reasons for not accepting them

The State received no public comments on the 2024 Annual Action Plan, the comment period that closed on May 1, 2024. A public comment period was not required for the first allocation of the RUSH-ESG Disaster Relief Grant.

7. Summary

NC has developed the 2024 Annual Action Plan to share the use of nearly \$85 million in the US Department of Housing and Urban Development (HUD) Community Planning and Development (CPD) funds. The plan will be submitted to HUD with certifications. The State of North Carolina shared the draft of the Annual Action Plan and opened the process to receive comments from citizens and units of general local government on the proposed action plan for a period of not less than 30 days from the date when the draft is available to the public. The comment period was publicized in the notices published in three major newspapers, posted on social media sites, and emailed to stakeholders and other interested parties. No comments were received in writing or orally at the public hearings to be considered in preparing the final submission. The final action plan includes a summary of the citizens participation process.

PR-05 Lead & Responsible Agencies - 91.300(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role		Name	Department/Agency
CDBG Administrator	North Carolina		NC Department of Commerce
HOPWA Administrator			NC Department of Health and Human Services
HOME Administrator	North Carolina		NC Housing Finance Agency
ESG Administrator			NC Department of Health and Human Services
	North Carolina		NC Housing Finance Agency

Table 1 – Responsible Agencies

Narrative

The North Carolina consolidated planning process is led by the NC Plan Partners which includes the following agencies: Rural Economic Development Division (REDD) of the North Carolina Department of Commerce, the Aging and Adult Services Division of the North Carolina Department of Health and Human Services (NC DHHS), the HIV Care Program of NCDHHS, and the North Carolina Housing Finance Agency (NCHFA). In addition to these agencies, North Carolina’s disaster relief and mitigation efforts are administered by the North Carolina Office of Recovery and Resiliency (NCORR) of the NC Department of Public Safety. The NC Department of Environmental Quality (NC DEQ) also contributes as a subrecipient of the Community Development Block Grant (CDBG) program. The Lead Agency is the Rural Economic Development Division (REDD) of NC Commerce.

Consolidated Plan Public Contact Information

The North Carolina Consolidated Plan public contact is Valerie D. Moore Fegans, CDBG Director, NC Commerce. Ms. Fegans can be reached at valerie.fegans@commerce.nc.gov or (919) 814-4673. The mailing address is 4346 Mail Service Center, Raleigh, NC 27699-4346.

AP-10 Consultation - 91.110, 91.300(b); 91.315(l)

1. Introduction

The State of North Carolina has developed a North Carolina Consolidated Plan. This plan is a guide that describes the State of North Carolina's process for participation, inclusion, and consulting with other public and private agencies that provide housing assistance, health services, social and fair housing services such as the NC Department of Health and Human Services Division of Aging and Division of Public Health, the NC Housing Finance Agency, the Department of Commerce's CDBG program and other entities. Input from these providers via consultation is incorporated into our consolidated planning process. Each agency will continue to reach out to its constituency to solicit feedback on their individual programs.

Additionally, North Carolina recently had its application accepted for the Housing and Services Partnership Accelerator (HSPA), which is designed to support the state in developing and expanding innovative housing-related support and services for Medicaid-eligible individuals with disabilities or older adults experiencing or at risk of homelessness. This program is intended to improve collaboration and coordination among organizations, agencies, and systems in North Carolina that provide services to help people find and retain stable housing.

Provide a concise summary of the state's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies

CDBG: The Rural Economic Development Division of the North Carolina Department of Commerce coordinates HUD-funded activities with public and assisted housing providers and private and governmental entities, mental health, and service agencies by meeting with the NC Plan Partners in the Division of Public Health (HOPWA) and NC Housing Finance Agency (HOME and HTF) in collecting data and information of the HUD activities we will provide to meet the needs. Also, through the public hearing process as part of our adopted Citizen Participation Plan present proposed efforts to address the needs of the state and to receive comment from the community.

HOPWA: The HOPWA program ensures the maintenance of and collaborative planning for housing in NC through the Regional Networks of Care and Prevention which include funded and non-funded providers of core medical support, prevention and housing services. HOPWA grantee staff partner with the other state agencies involved in the Consolidated Planning Process (Consolidated Plan Partners) to discuss housing needs both met and unmet and how to ensure full access to affordable, decent and safe housing in areas of increased opportunity. This includes ensuring increased citizen participation, fair housing, language access plans for those we serve with limited English proficiency, and Affirmatively Furthering Fair Housing. The HOPWA program funds 9 HOPWA Project Sponsors that include local health departments, non-profit organizations, and HIV Core Medical and Support service providers.

ESG: NC ESG is a member of the Governor's Inter-Agency Council on Homelessness. The agency also works in collaboration with other state and private non-profit organizations including the North Carolina Coalition to End Homelessness, the North Carolina Housing Coalition, Continuum of Care Organizations, the HMIS Governance Committee, providers and municipalities throughout the state. ESG is in continuous contact with the CoCs and works with these organizations throughout the year as a partner providing technical assistance and monitoring. CoCs play an important role in assuring that allocations are fairly distributed according to need and organizational capacity. CoCs assist NC ESG in helping to develop policy and procedure for the operation and administration of HMIS.

HOME and HTF: NCHFA works closely with the NC Department of Health and Human Services (DHHS) and the state's network of behavioral health providers (LME/MCOs) to align affordable housing with health services. Through the Targeting Program, NCHFA requires that at least 10% of the units financed each year with the federal Low-Income Housing Tax Credits are set aside as integrated, permanent supportive housing units for people with disabilities. DHHS manages the referral process to these units in partnership with local service providers across the state (including LME/MCOs). Through the Transitions to Community Living Initiative, NCHFA has identified as priority for occupancy in Agency-financed rental properties people with disabilities who are part of the 2012 *Olmstead* Settlement Agreement class. NCHFA also administers a rental assistance program (the Transitions to Community Living Voucher) on behalf of DHHS for members of the Settlement population. Other priority populations include people experiencing homelessness and participants in the state's Money Follows the Person program.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness

CDBG. Partners with the CoCs as part of the coordinated efforts with ESG on ensuring that the needs of homeless individuals are met through ensuring affordable housing, infrastructure for public facilities that provide shelter and CDBG Economic Development services through job creation.

HOPWA. HOPWA alone does not meet all the housing needs for persons living with HIV (PLWH). PLWH that are temporarily housed or that have unstable living arrangements have the worst health outcomes. The HOPWA program participates in the Continuum of Care (CoC) calls, providing input on housing needs across the state and discussing collaborative housing opportunities. As part of the Consolidated Plan Partners, the HOPWA program works closely with ESG on addressing the needs of homeless/chronically homeless individuals living with HIV.

ESG. NC ESG is a member of the Governor's Inter-Agency Council on Coordinating Homelessness (ICCHP). The agency also works in collaboration with other state and private non-profit organizations including the North Carolina Coalition to End Homelessness, the North Carolina Housing Coalition,

Continuum of Care Organizations, the HMIS Governance Committee, and other providers and municipalities throughout the state.

HOME-ARP. The NC Housing Finance Agency performs substantial outreach and communication with Continuums of Care (CoC) while creating the allocation plan for HOME-ARP, including a stakeholder meeting for CoCs and service providers. Consultation and outreach occurred through online forums and surveys. Additionally, the NC Housing Finance Agency also encourages recipients of HOME-ARP funds to collaborate with their local Continuum of Care.

Describe consultation with the Continuum(s) of Care that serves the State in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

North Carolina Emergency Solutions Grant (ESG) is in continuous contact with the CoCs and works with these organizations throughout the year as a partner providing technical assistance and monitoring. CoCs play an important role in assuring that allocations are fairly distributed according to need and organizational capacity. CoCs assist NC ESG in helping to develop policies and procedures for the operation and administration of HMIS.

2. Agencies, groups, organizations and others who participated in the process and consultations

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	NORTH CAROLINA HOUSING FINANCE AGENCY
	Agency/Group/Organization Type	Housing Other government - State
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The NC Housing Finance Agency administers the HOME Investment Partnership Program as well as the Housing Trust Fund. Additionally, NC Housing Finance Agency serves as a partner for consolidated planning.
2	Agency/Group/Organization	NC Department of Health and Human Services
	Agency/Group/Organization Type	Services - Housing Services-Persons with Disabilities Services-Persons with HIV/AIDS Other government - State
	What section of the Plan was addressed by Consultation?	Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The State of North Carolina Department of Health and Human Services administers the ESG and HOPWA programs. NC DHHS led the State's initiative to develop a five-year strategic housing plan. The draft is completed, the public comment and hearing were held, and the final document is under development. As a part of this initiative all the NC Plan Partners served as members of the planning committee. Additionally, the Governor of North Carolina has relaunched the Inter-Agency Council Coordinating Homeless Programs. DHHS serves as the lead and all NC Plan Partners are members of ICCHP. The North Carolina Coalition to End Homelessness (NCCEH) and the Balance of the State and the North Carolina Housing Coalition (NCHC) were key participants.

3	Agency/Group/Organization	NC Commerce
	Agency/Group/Organization Type	Other government - State
	What section of the Plan was addressed by Consultation?	Homelessness Strategy Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The State of North Carolina Department of Commerce administers the CDBG, CDBG-CV, and RHP programs. NC DHHS led the State's initiative to develop a five-year strategic housing plan. The draft is completed, the public comment and hearing were held, and the final document is under development. As a part of this initiative all the NC Plan Partners served as members of the planning committee. Additionally, the Governor of North Carolina has relaunched the Inter-Agency Council Coordinating Homeless Programs. DHHS serves as the lead and all NC Plan Partners are members of ICCHP. The North Carolina Coalition to End Homelessness (NCCEH) and the Balance of the State and the North Carolina Housing Coalition (NCHC) were key participants.

Identify any Agency Types not consulted and provide rationale for not consulting

N/A

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care		

Table 3 - Other local / regional / federal planning efforts

Narrative

None

AP-12 Participation - 91.115, 91.300(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal setting.

The State of North Carolina encourages citizens to participate in the planning, implementation, and assessment of the Consolidated Plan for HUD Community Planning and Development programs. This plan describes how this participation will be encouraged.

During the development of the plan, the development of any substantial amendments, and prior to submission of the annual performance report to HUD, the state solicits the views and proposals of citizens and interested groups and agencies at meetings and public hearings.

Notices for meetings and public hearing shall include:

- (1) The subject of the meeting;
- (2) Amount of funds anticipated to be available and range of eligible activities;
- (3) Estimated benefit to low-and-moderate income persons;
- (4) Information needed by citizens to make informed comments, or in cases where extensive information will be the subject of comment, where the information is available for inspection;
- (5) Time and location of the meeting and/or virtual access during the public health emergency;
- (6) The address and telephone number where special populations (persons with disabilities or non-English speaking persons) may request auxiliary aids or assistance;
- (7) The address where written comments may be submitted, and the time frame of the comment period for the subject of the meeting;
- (8) The telephone number where persons may request additional information; and
- (9) A telephone number or web link for constituents to participate in public meeting if the meeting is in-person and they are unable to travel.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Internet Outreach	Non-targeted/broad community	<p>The public hearing was advertised, and the draft documents were posted for public comments on the NC Commerce and North Carolina Housing Finance agency websites www.commerce.nc.gov and www.nchfa.com respectively. Approximately 14 persons participated including NC Plan Partners and other interested parties. Documentation including the PowerPoint presentation is attached to the plan.</p>	No comments were received during the public comment period.	Not Applicable	www.commerce.nc.gov

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Newspaper Ad	Non-targeted/broad community	The public hearing announcement and links were published in three newspapers to include the Raleigh News and Observer, Greenville NC Daily Reflector and the Asheville Citizen Times.		Not Applicable	
3	Email to Stakeholders and Consultants	Persons with disabilities Grantees, Consultants, State Agencies	The public hearing announcement and links to the draft NC 2024 Annual Action Plan was emailed to grantees, consultants, CoCs, state agencies, and other interested parties.		Not Applicable	
4	Public Meeting	Non-targeted/broad community	One virtual public hearing was held on April 16, 2024. Fourteen persons attended including staff persons.		Not Applicable	

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.320(c)(1,2)

Introduction

All the HUD funded partners in North Carolina work together to address the economic development, job creation, water/infrastructure and affordable housing needs in the state. Our partnerships are expanded to ensure the participation of community stakeholders, community members, low- to moderate-income individuals, People Living with HIV and AIDS (PLWHA) and their families, faith-based organizations,

universities and the health care system, all of whom dedicate resources to the greatest extent possible to maximize resources.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	46,272,979	4,733,334	3,600,000	54,606,313	94,803,471	REDD, NC Commerce received a similar allocation in 2024 as in 2023. The funds, however, were reduced by nearly \$2 million. Approximately \$13.6 million is available in 2017 de-obligated funds. \$4.73 million in program income is anticipated from the RLF. The average allocation anticipated for the next 2 years is \$94.8 million (approx.\$46.00 million annually). The funds will be divided into Neighborhood Revitalization, Economic Development, Infrastructure, and Rural Community Development. De-obligated funds and possible program income will be used for Contingency grants and existing grants requiring additional funds.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	15,722,330	13,200,000	0	28,922,330	18,000,000	NCHFA anticipates receiving a similar allocation in the remaining year of the Con Plan. The expected amount available for next year is 18 M.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOPWA	public - federal	Permanent housing in facilities Permanent housing placement Short term or transitional housing facilities STRMU Supportive services TBRA	4,126,940	0	0	4,126,940	8,253,880	HOPWA received a slight increase in allocation in 2024 as a result of final implementation of HOPWA Modernization. The anticipated amount for the next 2 years is \$4,126,940 per year for a total of \$8253,880.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	5,160,126	0	0	5,160,126	10,678,446	ESG anticipated a similar allocation in 2024 as in 2023. The anticipated amount for ESG for the next 2 years is 10,678,446 (approx. \$5.34 million annually).

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HTF	public - federal	Acquisition Admin and Planning Homebuyer assistance Multifamily rental new construction Multifamily rental rehab New construction for ownership	4,415,315	0	0	4,415,315	6,500,000	NCHFA anticipates receiving a similar allocation in the remaining year of the Consolidated Plan. The expected amount available is 6.5 million.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
RUSH - ESG Disaster Relief Grant	public - federal	Admin and Planning Financial Assistance Housing Overnight shelter Rapid re-housing (rental assistance) Services Supportive services	3,000,000	0	0	3,000,000	3,000,000	As part of Hurricane Helene recovery, the RUSH ESG Disaster Relief Grant provides \$3 million to address housing and services needs of survivors.

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

If appropriate, describe publicly owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

CDBG. CDBG funding may be used to assist in the rehabilitation efforts needed to public facilities owned by local units of government and non-profit agencies with facilities opened to the general public such as Senior Centers, Housing for the homeless, housing and shelter for victims of domestic violence, transitional housing facilities, community/neighborhood/recreation facilities and parks.

HOPWA. The NC HOPWA Project Sponsors may use Resource Identification and/or other sources to identify landlords, facility-based housing and public housing organizations to establish relationships and increase landlord participation in the HOPWA Tenant-Based Rental Assistance Program to expand affordable housing options for persons living with HIV/AIDS.

Discussion

NC Commerce has approximately \$14.2 million in the State Economic Development Revolving Loan Fund. A request has been submitted to HUD to dissolve the RLF and repurpose the funds for CDBG-eligible housing and community development activities. A final response has not been received at this time; however, NC Commerce plans to divide the funds over three years. This will create nearly \$4.73 million for 2024, 2025, and 2026 in program income. Also, NC Commerce will continue to use available de-obligated funds from allocation years 2018 through 2024 to fund other housing, community development including water and wastewater improvements, and economic development projects, especially ones with urgent needs and extenuating circumstances. The maximum grant is anticipated to be \$1 million, but the amount can be higher based on the urgency of the need. Urgent needs and contingency grants awarded due to extenuating circumstances will be provided on an as-needed, non-competitive basis.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives – 91.320(c)(3)&(e)

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Homeowner Housing Rehabilitation	2021	2025	Non-Homeless Special Needs	Statewide	Housing for non-homeless persons w/ special needs Housing for households under 30% AMI Housing for households 31-60% AMI Housing for households 61-80% AMI	HOME: \$4,627,573	Homeowner Housing Rehabilitated: 200 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
2	Affordable Homeownership	2021	2025	Affordable Housing	Statewide	Housing for households under 30% AMI Housing for households 31-60% AMI Housing for households 61-80% AMI	HOME: \$8,387,476	Homeowner Housing Added: 440 Household Housing Unit
3	Affordable Rental Housing	2021	2025	Affordable Housing		Housing for non-homeless persons w/ special needs Housing for households under 30% AMI Housing for households 31-60% AMI	HOME: \$13,015,049 HTF: \$3,973,784	Rental units constructed: 800 Household Housing Unit Rental units rehabilitated: 90 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
4	CDBG Neighborhood Revitalization	2021	2025	Affordable Housing Non-Housing Community Development		Housing for non-homeless persons w/ special needs Housing for households under 30% AMI Housing for households 31-60% AMI Housing for households 61-80% AMI Neighborhood Stabilization Non-housing community development needs	CDBG: \$12,000,000	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: 200 Households Assisted Rental units constructed: 15 Household Housing Unit Rental units rehabilitated: 15 Household Housing Unit Homeowner Housing Rehabilitated: 150 Household Housing Unit
5	CDBG Economic Development	2021	2025	Non-Housing Community Development		Non-housing community development needs	CDBG: \$18,000,000	Jobs created/retained: 1000 Jobs
6	CDBG Infrastructure	2021	2025	Non-Housing Community Development		Non-housing community development needs	CDBG: \$17,000,000	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: 2000 Households Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
8	HOPWA-Short-Term Rent and Utilities	2021	2025	Affordable Housing	Statewide	Housing for non-homeless persons w/ special needs Housing for households under 30% AMI Housing for households 31-60% AMI Housing for households 61-80% AMI	HOPWA: \$633,425	Homelessness Prevention: 531 Persons Assisted
9	HOPWA Supportive Services	2021	2025	Affordable Housing	Statewide	Housing for households under 30% AMI Housing for households 31-60% AMI Housing for households 61-80% AMI Housing for persons experiencing homelessness	HOPWA: \$510,048	HIV/AIDS Housing Operations: 454 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
10	HOPWA Resource ID	2021	2025	Persons with HIV/AIDS	Statewide	Housing for households under 30% AMI Housing for households 31-60% AMI Housing for households 61-80% AMI	HOPWA: \$87,557	HIV/AIDS Housing Operations: 174 Household Housing Unit
11	HOPWA Permanent Housing Placement	2021	2025	Affordable Housing	Statewide	Housing for non-homeless persons w/ special needs Housing for households under 30% AMI Housing for households 31-60% AMI Housing for households 61-80% AMI Housing for persons experiencing homelessness	HOPWA: \$104,228 HOME: \$213,074	Housing for People with HIV/AIDS added: 77 Household Housing Unit HIV/AIDS Housing Operations: 31 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
12	HOPWA Tenant-Based Rental Assistance	2021	2025	Affordable Housing	Statewide	Housing for households under 30% AMI Housing for households 31-60% AMI Housing for households 61-80% AMI	HOPWA: \$2,121,174	Housing for People with HIV/AIDS added: 248 Household Housing Unit
13	ESG Housing Stability	2021	2025	Homeless	Statewide	Housing for households under 30% AMI Housing for persons experiencing homelessness	ESG: \$168,441	Homelessness Prevention: 204 Persons Assisted Housing for Homeless added: 5924 Household Housing Unit
14	ESG Crisis Response	2021	2025	Homeless	Statewide	Housing for persons experiencing homelessness	HOPWA: \$7,168,610 ESG: \$2,260,448	Tenant-based rental assistance / Rapid Rehousing: 200 Households Assisted Homeless Person Overnight Shelter: 14000 Persons Assisted Overnight/Emergency Shelter/Transitional Housing Beds added: 11840 Beds

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	Homeowner Housing Rehabilitation
	Goal Description	Provide financing for the rehabilitation, repair and accessibility modifications of substandard owner-occupied housing. Repair and rehabilitation programs serve owners who are low-income, elderly, disabled and/or Veteran, fulltime household members or a child under 6 years old frequently present in a home with lead hazards.
2	Goal Name	Affordable Homeownership
	Goal Description	Provides financing to help low- to moderate-income buyers through local governments and nonprofit organizations that participate in our Community Partners Loan Pool (CPLP) and Self-Help Loan Pool (SHLP) programs. Partners use funding to make home ownership more affordable and sustainable with down payment assistance, shared mortgage financing and energy efficiency subsidies.
3	Goal Name	Affordable Rental Housing
	Goal Description	Finance the development and rehabilitation of affordable rental units through the North Carolina Housing Finance Agency's rental programs including the Rental Production Program (RPP) and the Rental Preservation Loan Program (RPLP). If operating costs and/or reserves are indicated in underwriting or for continued financial viability of a development funded wholly or in part with HTF, then these funds may be provided for this use.
4	Goal Name	CDBG Neighborhood Revitalization
	Goal Description	The Neighborhood Revitalization Program is designed to provide affordable housing through the rehabilitation of existing homeowner occupied homes, adaptive reuse of buildings, and rehabilitation of multifamily units. CDBG-NR also supports the development of affordable multifamily units and addresses non-housing community development needs. The new set aside for rural community development created by recent General Assembly action will be included in this category. Currently, \$12 million is set-aside for CDBG-NR including the Rural Community Development Fund. Please note that the NC FY2024 approved budget may alter the amount for this category.

5	Goal Name	CDBG Economic Development
	Goal Description	The CDBG Economic Development (CDBG-ED) will spur economic development activity through the retention and creation of jobs. As with all CDBG categories, de-obligated and program funds can be used for urgent needs and contingency activities. Please note the NC FY 2024 final budget may alter the amount for this category.
6	Goal Name	CDBG Infrastructure
	Goal Description	The CDBG Infrastructure Program will be administered by the North Carolina Department of Environmental Quality to address water and sewer needs. As with all CDBG funds, de-obligated and program funds can be used for urgent needs and contingency activities. Currently, \$17 million is anticipated for 2024. Please note the final NC FY2024 budget may alter the amount for this category.
8	Goal Name	HOPWA-Short-Term Rent and Utilities
	Goal Description	HOPWA will provide short-term rent and utilities for persons with HIV/AIDS, Short-Term Rent, Mortgage, and Utility Assistance (STRMU) provides a short-term, stabilizing intervention to currently housed HOPWA-eligible households that are not receiving ongoing rental assistance and are experiencing a health crisis or a change in their economic circumstances.
9	Goal Name	HOPWA Supportive Services
	Goal Description	Supportive Services (SS) are auxiliary services that help clients maintain stable housing. These services include mental health and substance use treatment, case management, food assistance and transportation.
10	Goal Name	HOPWA Resource ID
	Goal Description	Resource Identification (RI) assists agencies with establishing, coordinating, and developing housing assistance resources for eligible individuals and their families.

11	Goal Name	HOPWA Permanent Housing Placement
	Goal Description	Short-term housing that covers costs associated with short-term facility based housing /motel.
12	Goal Name	HOPWA Tenant-Based Rental Assistance
	Goal Description	TBRA provides an ongoing subsidy to HOPWA eligible households in a unit of their choice at or below Fair Market Rent (FMR).
13	Goal Name	ESG Housing Stability
	Goal Description	Prevent households from becoming homeless through rental assistance, and housing relocation and stabilization services. Move homeless people individuals and families quickly into permanent housing through rental assistance and housing relocation and stabilization services.
14	Goal Name	ESG Crisis Response
	Goal Description	Engage those experiencing unsheltered homelessness and connect them to services and housing. Provide shelter and move households in shelters quickly into permanent housing through case management services.

AP-25 Allocation Priorities – 91.320(d)

Introduction:

The Annual Action Plan funding allocation priorities are based on the needs and priorities identified in the NC 2021-2025 Consolidated Plan. The 2024 AAP allocation priorities are listed below.

Funding Allocation Priorities

Program	Homeowner Housing Rehabilitation (%)	Affordable Homeownership (%)	Affordable Rental Housing (%)	CDBG Neighborhood Revitalization (%)	CDBG Economic Development (%)	CDBG Infrastructure (%)	HOPWA-Short-Term Rent and Utilities (%)	HOPWA Supportive Services (%)	HOPWA Resource ID (%)	HOPWA Permanent Housing Placement (%)	HOPWA Tenant-Based Rental Assistance (%)	ESG Housing Stability (%)	ESG Crisis Response (%)	Total (%)
CDBG	0	0	0	26	29	41	0	0	0	0	0	0	0	96
HOME	16	29	45	0	0	0	0	0	0	0	0	0	0	90
HOPWA	0	0	0	0	0	0	18	15	3	3	61	0	0	100
ESG	0	0	0	0	0	0	0	0	0	0	0	47	30	77
HTF	0	0	90	0	0	0	0	0	0	0	0	0	0	90
RUSH - ESG Disaster Relief Grant	0	0	0	0	0	0	0	0	0	0	0	0	93	93

Table 7 – Funding Allocation Priorities

Reason for Allocation Priorities

The allocation priorities are established based on data and information collected from the community, stakeholders and organizations that are

outlined in the five-year plan. The percentages above also reflect the available amounts after the set aside of program administration funds.

RUSH-ESG Disaster Relief: The allocation will be used in the Hurricane Helene highest impacted areas.

CDBG and CDBG-CV: Allocation priorities are addressed by the needs established in the Consolidated Plan, program requirements, and program past performance. Additionally, the NC General Assembly establishes the program categories and funding levels through state budgeting process. NC uses three percent of the annual allocation for program administration for CDBG and five percent for CDBG-CV.

ESG and ESG-CV: Allocation priorities are addressed by the needs established in the Consolidated Plan, program requirements, and program past performance. For 2022, NC DHHS allocated 46.5% for Housing Stability, 30% for Crisis Response, 16% for HMIS, and 7.5% for program administration.

HOME and HTF: Allocation priorities are determined by considering housing needs and past program performance while accounting for up to 10% of funds to be allocated for program administration.

HOPWA: Allocation priorities are addressed by the needs established in the Consolidated Plan, program requirements, and program past performance. HOPWA is eligible to use 3% for state administration. The State of North Carolina, however, does not always use the full amount. In these instances, the amount is added to the 97% available for program activities.

How will the proposed distribution of funds address the priority needs and specific objectives described in the Consolidated Plan?

CDBG Economic Development (CDBG-ED): The Community Development Block Grant for Economic Development (CDBG-ED) will continue to provide grants to local governments that partner with a for-profit business to bring public infrastructure improvements and building renovation services. The CDBG- ED program funds will continue to aid in the opportunities for job creation. All grants are awarded by a 15-member appointed Rural Infrastructure Authority.

CDBG Coronavirus (CDBG-CV): The CDBG-CD Coronavirus funds have been fully obligated. NC Commerce, however, will continue to award de-

obligated funds to address the COVID19 pandemic.

CDBG- Neighborhood Revitalization (CDBG-NR): The State CDBG program currently has Neighborhood Revitalization funding opportunities available to local units of government. Notices of Funding Opportunities are being distributed widely throughout the state for eligible, non-entitlement counties and municipalities to submit applications (proposals). These funding opportunities are competitive and are designed to revitalize communities' area-wide that are at least 51% low -and -moderate-income (LMI) persons and direct activities benefit 100% low and moderate-income (LMI) persons. The Rural Community Development set-aside established by the General Assembly will focus on the most distressed counties in the state (i.e., Tier 1 and Tier 2). More information on county distressed tiers can be found on the NC Commerce website at www.nccommerce.com.

CDBG Infrastructure (CDBG-I): These funds will construct public water and sewer infrastructure to mitigate public and environmental health problems in areas where the percentage of low to moderate income persons is at least 51 percent.

CDBG Contingency (CDBG-CT) Grants: The CDBG-CT program will focus on immediate needs that arise, but do not fit the program funding cycle or proposed categories. The grants will be funded with available de-obligated funds and program income.

ESG: The ESG program is designed as the first step in the continuum of assistance to prevent homelessness and to enable the homeless population to move steadily toward independent living. ESG funds may be used for five program components: street outreach, emergency shelter, homelessness prevention.

HOME and HTF: HOME and HTF will be used to increase and preserve the affordable rental housing stock and supply of permanent supportive housing, provide homeownership opportunities for low- to moderate- income buyers and rehabilitate substandard housing for homeowners who are low-income, elderly, disabled and/or Veteran, fulltime household members or a child under 6 years old frequently present in a home with lead hazards. These activities will be targeted towards populations identified in the Consolidated Plan as having a "high priority" need for housing including: non-homeless with special needs, housing for households under 30% AMI, housing for household between 30% and 60% AMI, and housing for persons experiencing homelessness.

AP-30 Methods of Distribution – 91.320(d)&(k)

Introduction:

Each HUD-funded agency establishes its own Method of Distribution based on priority needs from the Housing Needs Survey and Market Analysis data.

Distribution Methods

Table 8 - Distribution Methods by State Program

1	State Program Name:	CDBG Economic Development (CDBG-ED)
	Funding Sources:	CDBG

<p>Describe the state program addressed by the Method of Distribution.</p>	<p>The CDBG Economic Development (CDBG-ED) Program has the goal to spur economic development through job creation in the rural jurisdictions of our state. The CDBG-ED program focuses on three core categories:</p> <p>(1) CDBG-ED provides funding for the construction of public infrastructure to a site, to enable a company to locate or expand. Eligible uses include:</p> <ul style="list-style-type: none"> • Upgrade or repair of public drinking water or wastewater treatment plants • Upgrade, extension or repair of public water or sewer lines • Extension of publicly owned natural gas lines (with an executed pipeline construction, operating and resale agreement) • Installation or extension of public broadband infrastructure • Construction of publicly owned access roads not owned or funded by the North Carolina Department of Transportation • Construction of public rail spur improvements <p>(2) The Community Development Block Grant Building Reuse (CDBG-EDBR) program provides funds to renovate and upfit vacant industrial and commercial buildings for economic development purposes. CDBG Building Reuse grants are available to local government applicants that work in conjunction with a company intending to operate in a vacant building. The company’s new or expanding operations must result in the creation of permanent, full-time jobs. A CDBG Building Reuse award is limited to \$750,000 per local government. The grant amount cannot exceed \$20,000 per job for priority projects (primarily manufacturing) and \$12,000 per job for all other projects.</p> <p>(3) The Community Development Block Grant Demolition Program (CDBG Demolition) is designed to fund the demolition of vacant and dilapidated industrial buildings and properties.</p>
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	<p>CDBG Demolition Program is designed to assist local governments in demolition projects that result in viable industrial or commercial sites. The demolition activity is expected to create a site at which it can reasonably be expected that new jobs will be created, and private sector capital will be invested.</p> <p>Although specific job creation is not required, the following conditions apply:</p> <ul style="list-style-type: none"> • When the demolition project involves a company’s plan to construct a new building on a to-be-raized site, the CDBG Demolition Program will require an estimate of the number of employees it anticipates hiring. • When the project involves demolition of a building only for future economic development—and when a business has not yet been identified to construct a new building and hire employees—the CDBG Demolition Program requires that the building targeted for demolition be in a low and moderate income (LMI) census tract.
<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>The CDBG-ED program has a two-part process. Interested applicants initiate the search through the Economic Development Partnership North Carolina (EDPNC) or by contacting the CDBG-ED Grants Manager at NC Commerce. The N.C. Department of Commerce annually ranks the state’s 100 counties based on economic well-being and assigns each a tier designation. The 40 most distressed counties are designated as Tier 1, the next 40 as Tier 2 and the 20 least distressed as Tier 3. This tier system is incorporated into CDBG ED to encourage economic activity in the less prosperous areas of the state. For all CDBG programs, preference is given to applicants creating and retaining jobs in Tier 1 and Tier 2 distressed counties.</p> <p>Unless located in a Tier 1 county, the local government must provide at least one dollar for every dollar provided by CDBG Building Reuse. Matching funds may come from the building owner or from the project company.</p>

<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>Interested applicants may contact the CDBG-ED Grants Management Representative, Jayne Langston at Jayne.Langston@commerce.nc.gov for access to application manuals and other materials. Applicants can also find information with the Economic Development Partnership NC at www.edpnc.com.</p>
<p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p>	<p>N/A</p>

<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p>	<p>N/A</p>
<p>Describe how resources will be allocated among funding categories.</p>	<p>The CDBG-ED funds are available for public infrastructure improvements, building reuse, and demolition as described above.</p>

	Describe threshold factors and grant size limits.	All applications must be complete before funding. Projects are sent to the Rural Infrastructure Authority to be reviewed and approved for award. After the RIA approval, grantees must submit a complete application.
	What are the outcome measures expected as a result of the method of distribution?	The CDBG ED programs focus on job creation and retention, especially for low-to-moderate income persons and areas.
2	State Program Name:	CDBG Infrastructure (CDBG-I)
	Funding Sources:	CDBG

<p>Describe the state program addressed by the Method of Distribution.</p>	<p>The CDBG Infrastructure (CDBG-I) Program addresses the water and sewer needs in the rural jurisdictions of our state. The purpose of the funds is to construct public water and sewer infrastructure to mitigate public and environmental health problems in areas where the percentage of low to moderate income persons is at least 51 percent.</p>
<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>This program is administered by the NC Department of Environmental Quality (NC DEQ).</p>
<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>Information for the program can be found on the NC DEQ website at https://deq.nc.gov/about/divisions/water-infrastructure/i-need-funding/community-development-block-grant-infrastructure.</p>

<p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p>	<p>N/A</p>
<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p>	<p>N/A</p>

<p>Describe how resources will be allocated among funding categories.</p>	<p>Not Applicable</p>
<p>Describe threshold factors and grant size limits.</p>	<p>The program guidelines are available at the NC DEQ website.</p>

	<p>What are the outcome measures expected as a result of the method of distribution?</p>	<p>The outcome measures to show the benefit to low-and-moderate income persons, households, and areas in the state.</p>
3	<p>State Program Name:</p>	<p>CDBG Neighborhood Revitalization (CDBG-NR)</p>
	<p>Funding Sources:</p>	<p>CDBG</p>
	<p>Describe the state program addressed by the Method of Distribution.</p>	<p>The CDBG Neighborhood Revitalization (CDBG-NR) Program, which includes the Rural Community Development set-aside is an annual competitive program. The program focuses on housing and community development activities and is announced via a Notice of Funding Opportunity. If funds are available after the award, a second round may be held. Specifically, for 2024, an anticipated total of \$12,292,736 million is available for the CDBG-NR Program including the Rural Community Development estimated \$4.75 million set-aside. While all non-entitlements are eligible to apply, preference is given to Tiers 1 and 2 most distressed counties in the state.</p>

<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p><i>The CDBG-NR Program</i> is competitive but can convert to non-competitive if, funding exceeds the number of applications received. The primary focus is permanent and transitional housing. A subset called the Rural Community Development fund is available for non-housing only projects in Tier 1 counties. The project must pass the threshold review to be considered for the competitive review. See threshold criteria section of the guidelines for details. Applicants will be rated according to the following criteria:</p> <ol style="list-style-type: none"> 1. Activity is taking place in an eligible area 2. Program Purpose, Project Design, and Performance Measure & Evaluation 3. Project Feasibility, Sustainability, and Readiness 4. Project Need, Market Demand, and Benefit 5. Financial Design, Budget, Leverage, and Cost Effectiveness 6. Capacity, Experience, and Organizational Structure.
<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>Program guidelines and applications are generally available on the NC Commerce website www.commerce.nc.gov and by contacting the CDBG Director.</p>

<p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p>	<p>None</p>
<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p>	<p>Not applicable</p>

<p>Describe how resources will be allocated among funding categories.</p>	<p>This category is allocated approximately \$12 million for eligible projects.</p>
<p>Describe threshold factors and grant size limits.</p>	<p>The key thresholds include complete applications and location in a non-entitlement area of NC. Grant size limits are anticipated not to exceed \$950,000 per project; however, if additional funds are available the maximum could be increased not to exceed \$5,000,000.</p>

	<p>What are the outcome measures expected as a result of the method of distribution?</p>	<p>The anticipated outcome measures for the CDBG-NR program is to create and preserve affordable housing; provide transitional housing and emergency shelters; and meet non-housing community development needs such as community centers, parks, greenways, and sidewalks.</p>
4	<p>State Program Name:</p>	<p>Emergency Solutions Program (ESG)</p>
	<p>Funding Sources:</p>	<p>ESG</p>

<p>Describe the state program addressed by the Method of Distribution.</p>	<p>The U.S. Department of Housing and Urban Development allocated \$5,339,223. to the NC State ESG Program. A portion, 7.5% or \$400,442. is for admin costs incurred by the State or subrecipients that are units of local government. The remaining funds, \$4,938,781, will be distributed to subrecipients for program costs. The amount that each Local Planning Area is eligible to apply for is the “NC ESG Fair Share”.</p> <p>No more than 60% of funds can be used for Emergency Response Services (Street Outreach and Emergency Shelter). While there is a maximum percent for Emergency Services, there is no maximum for Housing Stability (Rapid Re-Housing, HMIS, and Targeted Prevention). This means a Local Planning Area may choose to apply for the full 60% of Emergency Response funding or use some of that funding for Housing Stability Activities.</p> <p>To establish a fair distribution of program funds, the NC ESG Program uses the Department of Housing and Urban Development’s (HUD) Continuum of Care (CoC) Pro Rata Formula as the basis for determining the amount of eligible funding for each Local Planning Area. Six entitlement communities receive ESG funding directly from HUD. The allocation that these entitlements receive from HUD are considered when determining each Local Planning Area’s eligible amount. The CoC Pro Rata percentage is multiplied by the total amount of ESG funds allocated to North Carolina (NC State ESG + entitlements). This is the total amount that each Local Planning Area should have as its fair share of ESG funding.</p> <p>For communities that do not receive entitlement funding from HUD, the full amount will come from the NC ESG Program. For entitlement communities, the amount received directly from HUD will be subtracted from their fair share, so only a portion of the fair share is awarded through the NC ESG Program. The chart below shows the total fair share for each entitlement community with the amount of program funding administered by the local government and the NC ESG Program.</p>
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<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>NC ESG staff review and score Regional and Project Applications for minimum threshold criteria.</p>
<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>N/A</p>

<p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p>	<p>The NC Emergency Solutions Grants Programs uses the formula of the HUD Pro Rata Share to determine available funding to Continuums of Care / Local Planning Areas (CoCs / LPAs). Local units of government, and non-profit organizations including community and faith-based organizations can submit a Project Application to CoCs/LPAs. Upon doing so, CoCs/LPAs recommend projects for funding in the NC Emergency Solutions Grants Request for Application. NC ESG staff then review and score Regional and Project Applications for minimum threshold criteria.</p>
<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p>	<p>N/A</p>

<p>Describe how resources will be allocated among funding categories.</p>	<p>Resources are allocated based upon needs assessed in the community and by analyzing the utilization of services, and gaps in services to ensure to the greatest extent possible the needs of the community are met</p>
<p>Describe threshold factors and grant size limits.</p>	<p>Grant size limits are based on the formula calculation using the fair share calculator and decisions and recommendations made and approved at the CoC level and passed to the NC ESG office through an RFA process.</p>

	What are the outcome measures expected as a result of the method of distribution?	The outcome measures are increased economic opportunity, improved quality of life, and pathway to housing opportunities provided.
5	State Program Name:	HOME & HTF: Rental Development & Preservation
	Funding Sources:	HOME HTF

<p>Describe the state program addressed by the Method of Distribution.</p>	<p>HOME and HTF funds are used to finance construction and preservation of rental units for low-income households and homeless or non-homeless households with special needs.</p> <p>The Rental Production Program (RPP) provides long-term financing, in the form of an amortizing or deferred loan, to projects awarded Low-Income Housing Tax Credits. The goal is to provide additional housing for households earning up to 60% of area median income. Projects with HTF have deeper income targeting for rental housing for households earning below 30% of area median income or the federal poverty level (whichever is higher). NCHFA may also use up to one-third of HTF funds for operating reserves and/or assistance for LIHTC projects.</p> <p>The Rental Preservation Loan Program (RPLP) provides financing in the form of an amortizing or deferred loan, to eligible projects for the rehabilitation of rental units for low-income households. Awards through RPLP may include funds for rehabilitation, acquisition, refinancing, and operating reserves and/or assistance. For HTF eligible units, NCHFA may also use up to 33% of HTF funds for operating reserves and/or assistance.</p> <p>The Supportive Housing Development Program (SHDP) finances the acquisition, rehabilitation, and/or new construction of developments serving populations of homeless or non-homeless households with special needs earning up to 50% of area median income, with a priority for households earning up to 30% AMI.</p>
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<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>RPP loans are awarded through an annual competitive cycle that ensures equitable distribution among the three geographic regions of the state and between metropolitan and urban areas. Awards are determined during tax credit allocation process using criteria set forth in the state's Qualified Allocation Plan. Selection criteria include:</p> <ul style="list-style-type: none"> • Project location and site suitability. • Market demand and local housing needs. • Ability to serve the lowest-income tenants. • Ability to serve qualified tenants for the longest periods. • Design and quality of construction. • Financial structure and long-term viability. • Experience of development team and management agent(s). • Ability to serve persons with disabilities and the homeless. • Projects that are part of a Community Revitalization Plan <p>For more information, visit: https://www.nchfa.com/rental-housing-partners/rental-developers/qualified-allocation-plan</p> <p>RPLP loans are to be awarded using selection criteria including, but not limited to the following required threshold criteria and additional selection criteria if there are more applicants than funding available:</p> <p>Required Threshold Criteria:</p> <ul style="list-style-type: none"> • Need for rehabilitation for critical building components and health and safety needs • Projects must have an owner in good standing with NCHFA • Project Contractors, borrowers, developers and their subcontractors, or subsequent recipients must be in good standing with the federal and state government
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		<ul style="list-style-type: none"> • Applicants must have successfully owned and operated multifamily rental housing for a minimum of three years with no material or uncorrected noncompliance issues unless there is a plan of action to address the issue(s) that has been accepted by the Agency. • Applicants must have sound business practices as well as financial structure and viability • Experience, including performance history, of development team and management agent(s) <p>Additional Criteria:</p> <ul style="list-style-type: none"> • Ability to serve the lowest-income households, specifically those at or below 30% of AMI • County income designation – priority will be given to projects in lower income counties • Priority for applicants using below market loans or construction loans. <p>For more information, visit https://www.nchfa.com/rental-housing-partners/rental-preservation-partners</p> <p>SHDP loans are to be awarded using selection criteria that will include but not be limited to:</p> <ul style="list-style-type: none"> • Project location and site suitability • Housing development and organizational capacity • Financial structure and project readiness • Ability to serve the lowest-income tenants and/or special needs populations <p>For more information, visit https://www.nchfa.com/rental-housing-partners/supportive-housing-developers/supportive-housing-program</p>
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<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>N/A</p>
<p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p>	<p>N/A</p>

<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p>	<p>N/A</p>
<p>Describe how resources will be allocated among funding categories.</p>	<p>An estimated 16% of HOME funds will go toward home rehabilitation, 29% for homeownership activities, and 45% toward rental development which will be split approximately 20% towards rehabilitation and 25% towards new construction. An estimated 90% of HTF will go towards rental development, including new construction, rehabilitation and no more than one-third of HTF funds will be used for operating reserves and/or assistance. The remaining 10% of funds for both HOME and HTF may be used towards administrative expenses.</p>

<p>Describe threshold factors and grant size limits.</p>	<p>For the RPP, developers can receive up to \$1,600,000 per development but this may be exceeded in some cases. Awards are made as loans with a fixed rate for approximately 15 to 30 years.</p> <p>For RPLP, developers can receive no more than \$4,000,000 per development for rehabilitation. Awards are made as loans and shall require the project to maintain the period of affordability for the full term of the loan, and in no event shall there be less than the required minimum period of affordability under the applicable funding source (HOME or HTF) regulations.</p> <p>For SHDP, developers can receive no more than \$1,500,000 per development towards development costs. Awards are made as loans and shall require the project to maintain the period of affordability for the full term of the loan, and in no event shall it be less than the required minimum period of affordability under the applicable funding source (HOME or HTF) regulations.</p>
<p>What are the outcome measures expected as a result of the method of distribution?</p>	<p>The objectives of RPP are to increase the amount of affordable rental units available to low-income households earning up to 60% of area median income, and for those receiving HTF funds, up to 30% of area median income or the federal poverty level, whichever is higher.</p> <p>The objective of RPLP is to preserve existing affordable housing for households earning up to 80% AMI, with a priority on the preservation and creation of units for households earning less than 30% AMI.</p> <p>The SHDP program objectives for HTF- and HOME-funded projects are to provide affordable, quality, safe and accessible housing for persons with low incomes (below 50% AMI with a preference for those at or below 30% AMI) and special needs with links to appropriate services; to increase the supply of permanent supportive housing in the most integrated setting appropriate to meet the needs of the proposed population; and to provide funds to leverage other public and private resources.</p>
<p>6 State Program Name:</p>	<p>HOME: Affordable Homeownership</p>
<p>Funding Sources:</p>	<p>HOME</p>

<p>Describe the state program addressed by the Method of Distribution.</p>	<p>HOME funds are used to increase access to homeownership opportunities by providing down payment assistance and affordable mortgages to eligible households earning up to 80% of area median income. The Community Partners Loan Pool (CPLP) and the Self-Help Loan Pool (SHLP) work with participating nonprofits and local governments to identify potential homebuyers and provide either down payment assistance or an interest-free amortizing loan.</p>
<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>For SHLP, eligible homebuyers are those participating in a self-help housing program (i.e. borrower contributes sweat equity to help build/rehab the house they are purchasing) whose gross annual incomes are less than 80% of area median income. Eligible properties are newly constructed homes and existing homes that meet program quality standards (i.e. Certificate of Occupancy or Home Inspections). To become a loan pool member, the organization must develop affordable housing using sweat equity, provide first or second mortgage financing to eligible home buyers at 0% or below market interest rates, and provide loan servicing (internally or through a third party). They also ensure eligible borrowers complete HUD-approved home buyer education and pre-purchase counseling. For more details, visit: https://www.nchfa.com/sites/default/files/page_attachments/SHLP-ParticipationGuidelines.pdf</p> <p>For CPLP, eligible home buyers with annual household incomes less than 80% of area median income. Eligible properties are newly constructed homes or existing homes that meet program quality standards (i.e. Certificate of Occupancy or Home Inspections). Eligible applicants for CPLP membership include non-profit developers of affordable for-sale homes, non-profit agencies that are HUD approved housing counseling agencies, or public agencies that administer a homeownership program. Members must have the capacity to prepare low-wealth families for successful homeownership as well as identify or develop program eligible properties. They also ensure eligible borrowers complete HUD-approved home buyer education and pre-purchase counseling. For more information, visit: https://www.nchfa.com/sites/default/files/page_attachments/CPLP-ParticipationGuidelines.pdf</p>

<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>N/A</p>
<p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p>	<p>N/A</p>

<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p>	<p>N/A</p>
<p>Describe how resources will be allocated among funding categories.</p>	<p>An estimated 16% of HOME funds will go toward home rehabilitation, 29% for homeownership activities, and 45% toward rental development.</p>

<p>Describe threshold factors and grant size limits.</p>	<p>For Self-Help Loan Pool, eligible home buyers can receive up to \$35,000 in SHLP funds. However, borrowers purchasing a home in an Underserved County (i.e. counties with no or few existing SHLP home buyers) can receive up to \$50,000 in SHLP funds.</p> <p>For the Community Partners Loan Pool, the maximum loan amount is 25% of the sales price or \$50,000, whichever is less, when combined with a NC Home Advantage™ Mortgage. If CPLP is combined with a USDA 502 Direct Loan, the maximum loan is 10% of the sales price or \$50,000, whichever is less.</p>
<p>What are the outcome measures expected as a result of the method of distribution?</p>	<p>For both homeownership programs, the objectives are to assist qualified households to become homeowners, increase homeownership opportunities in underserved counties, to increase the capacity of housing for nonprofits and local governments to produce more affordable homes, and to encourage the production of healthy, energy efficient homes.</p>
<p>7</p>	<p>State Program Name: HOME: Owner-Occupied Housing Rehabilitation</p> <p>Funding Sources: HOME</p>

<p>Describe the state program addressed by the Method of Distribution.</p>	<p>HOME funds are used for the rehabilitation or reconstruction of existing housing units. Rehabilitation investments help low-income veterans, seniors, children threatened by lead hazards and people living with disabilities remain in their homes. The Essential Single-Family Rehabilitation Loan Pool (ESFRLP) provides forgivable loans (grants for soft costs and administration) to homeowners through regional agencies, units of local government, and nonprofit organizations. Funds are disbursed on a unit-by-unit basis with a first-come, first-approved “limited pool” to reimburse soft cost expenses for assisted units that do not meet the SFRLP (Essential) rehabilitation standard due to no fault of the Member (i.e., homeowner dies before rehabilitation has started). SFRLP (Essential) funds target homeowners below 80% of area median income with elderly, disabled and/or Veteran, fulltime household members or a child under 6 years old frequently present in a home with lead hazards. Also, these households are targeted to specific geographic areas including all entitlements except 5 large CDBG entitlements (Durham, Charlotte, Greensboro, Raleigh, and Winston-Salem).</p>
<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>For more information on program guidelines, visit: https://www.nchfa.com/homeownership-partners/community-partners/community-programs/single-family-rehabilitation-loan-pool/forms-and-resources.</p>

<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>N/A</p>
<p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p>	<p>N/A</p>

<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p>	<p>N/A</p>
<p>Describe how resources will be allocated among funding categories.</p>	<p>An estimated 16% of HOME funds will go toward home rehabilitation, 29% for homeownership activities, and 45% toward rental development.</p>

<p>Describe threshold factors and grant size limits.</p>	<p>Partners receive at least \$162,000 and may use up to \$50,000 per unit; if hazards such as lead, asbestos, radon or other environmental hazards are found on the property, the homeowner is eligible to receive additional funds to correct these issues. The Partner can receive up to 10% of total costs for administration.</p>
<p>What are the outcome measures expected as a result of the method of distribution?</p>	<p>The objectives of the ESFRLP are: to assist low-income elderly, disabled and veteran households and households with a child under the age of six who health is threatened by lead hazards, across the state; to facilitate aging in place amongst elderly and disabled household members; to remove environmental toxins from low-income housing stock; and to serve and to promote the long-term health, safety, affordability and energy efficiency of assisted units.</p>
<p>8</p>	<p>State Program Name: Housing Opportunities for Persons with AIDS</p> <p>Funding Sources: HOPWA</p>

<p>Describe the state program addressed by the Method of Distribution.</p>	<p>The Housing Opportunities for Persons with AIDS (HOPWA) Program is administered by the North Carolina Department of Health and Human Services (NC DHHS), HIV Care Program in the Division of Public Health. The overall goal of the HOPWA program is to provide housing and housing-related services to persons/families living with HIV Infection in the State of North Carolina. This has historically been done through 10 Regional Networks of Care covering 71 of the 100 North Carolina counties.</p>
<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>The North Carolina Department of Health and Human Services, Division of Public Health, Communicable Disease Branch invites submission of applications, through an RFA process to fund and support HOPWA services within 10 geographically defined Regional Networks of Care.</p>

<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>N/A</p>
<p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p>	<p>N/A</p>

<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p>	<p>The North Carolina Department of Health and Human Services, Division of Public Health, Communicable Disease Branch invites submission of applications, through an RFA process to fund and support HOPWA services within 10 geographically defined Regional Networks of Care. Through this RFA response Networks determine their regional partners and include those collaborators in the RFA response. DHHS' RFA process procures Regional Networks of Care in accordance with the regulations at 2 CFR 200.318 through 2CFR 200.320. Once the Network provider is determined, those partner agencies receive pass-through funding via a financial assistance (grant) arrangement, executed in contract form.</p> <p>Applications are open to other state agencies, local government agencies, colleges, and universities (private and public), community-based organizations (501(c)(3) designated), and for-profit entities when there is not a not-for-profit organization available to do the proposed work.</p>
<p>Describe how resources will be allocated among funding categories.</p>	<p>Grantee Administration: 3%</p> <p>Project Sponsor Administration: 5%</p> <p>STRMU: 17%</p> <p>TBRA: 56%</p> <p>Permanent Housing Placement: 3%</p> <p>Leasing (Hotel/Motel): 2%</p> <p>Supportive Services: 14%</p>

<p>Describe threshold factors and grant size limits.</p>	<p>Each regional Network of Care receives a funding allocation based on the number of individuals living with HIV in the region and the Network's historical expenditures of TBRA and STRMU funds. In addition to regional Network allocations, additional one-time funding is made available to the Network regions when available.</p>
<p>What are the outcome measures expected as a result of the method of distribution?</p>	<p>The primary goal of the HOPWA Program is to increase access to and ensure the provision of safe, decent, and affordable housing and housing-related services to persons living with HIV infection and their families in North Carolina and to ensure that all HOPWA recipients living with HIV Infection are receiving medical care.</p>
<p>9 State Program Name:</p>	<p>RUSH-ESG Disaster Relief Grant</p>
<p>Funding Sources:</p>	<p>RUSH - ESG Disaster Relief Grant</p>

<p>Describe the state program addressed by the Method of Distribution.</p>	<p>The U.S. Department of Housing and Urban Development allocated \$3 million to the NC RUSH-ESG Disaster Relief Program. A portion, 7.5% or \$225,000 is for administration costs incurred by the State or subrecipients that are units of local government. The remaining funds, \$4,938,781, will be distributed to subrecipients for program costs. The program is administered by the NC Department of Health and Human Services (DHHS). DHHS will enter a Memorandum of Understanding with the NC Office of Recovery and Resiliency (NCORR) to administer the funds in the Hurricane Helene impacted areas.</p> <p>No more than 60% of funds can be used for Emergency Response Services (Street Outreach and Emergency Shelter). While there is a maximum percent for Emergency Services, there is no maximum for Housing Stability (Rapid Re-Housing, HMIS, and Targeted Prevention). This means a Local Planning Area may choose to apply for the full 60% of Emergency Response funding or use some of that funding for Housing Stability Activities.</p> <p>To establish a fair distribution of program funds, the NC ESG Program uses the Department of Housing and Urban Development’s (HUD) Continuum of Care (CoC) Pro Rata Formula as the basis for determining the amount of eligible funding for each Local Planning Area. Six entitlement communities receive ESG funding directly from HUD. The allocation that these entitlements receive from HUD are considered when determining each Local Planning Area’s eligible amount. The CoC Pro Rata percentage is multiplied by the total amount of ESG funds allocated to North Carolina (NC State ESG + entitlements). This is the total amount that each Local Planning Area should have as its fair share of ESG funding.</p> <p>For communities that do not receive entitlement funding from HUD, the full amount will come from the NC ESG Program. For entitlement communities, the amount received directly from HUD will be subtracted from their fair share, so only a portion of the fair share is awarded through the NC ESG Program. The chart below shows the total fair share for each entitlement community with the amount of program funding administered by the local government and the NC ESG Program.</p>
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<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>Applicants were selected from current Back@Home subrecipients to facilitate an expeditious implementation of RUSH funding and programming. These applicants were initially selected through a previous Back@Home RFA and were selected based on prior experience with rapid rehousing programs and services. If additional funding is provided, an RFA will be published to add additional subrecipient providers from the local community who have rehousing experience.</p>
<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>Not Applicable to the RUSH-ESG Grant</p>

<p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p>	<p>Not Applicable to RUSH-ESG Disaster Relief Grant</p>
<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p>	<p>Not Applicable to RUSH-ESG Disaster Relief Grant</p>

<p>Describe how resources will be allocated among funding categories.</p>	<p>Resources will be allocated to FEMA declared disaster counties. Funding will be allocated to rapid rehousing, homelessness prevention, and HMIS.</p>
<p>Describe threshold factors and grant size limits.</p>	<p>The highest impacted counties will be the focus of the initial RUSH allocation.</p>

What are the outcome measures expected as a result of the method of distribution?	The outcome measures are increased economic opportunity, improved quality of life, and pathway to housing opportunities provided. The RUSH-ESG Program measures will be based on the number of homeless service providers and shelters that receive funding assistance, the number of individuals who benefit from emergency shelter assistance, the number of individuals who receive rapid re-housing assistance, the number of individuals that receive permanent housing, and the number of individuals who remain stably housed after receiving assistance.
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Discussion:

none

AP-35 Projects – (Optional)

Introduction:

The State of North Carolina will not include the list of projects in this section. This information is available from the agencies administering the programs.

#	Project Name

Table 9 – Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

AP-38 Project Summary

Project Summary Information

Project Name	Target Area	Goals Supported	Needs Addressed	Funding	Description	Target Date	Estimate the number and type of families that will benefit from the proposed activities	Location Description	Planned Activities
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AP-40 Section 108 Loan Guarantee – 91.320(k)(1)(ii)

Will the state help non-entitlement units of general local government to apply for Section 108 loan funds?

No

Available Grant Amounts

N/A

Acceptance process of applications

N/A

AP-45 Community Revitalization Strategies – 91.320(k)(1)(ii)

Will the state allow units of general local government to carry out community revitalization strategies?

No

State’s Process and Criteria for approving local government revitalization strategies

Not Applicable

AP-50 Geographic Distribution – 91.320(f)

Description of the geographic areas of the state (including areas of low-income and minority concentration) where assistance will be directed

Collectively, the North Carolina CPD Programs serve all 100 counties in the state. Individually, the programs target counties based on program regulations. As an example, CDBG and CDBG-CV: 98 of 100 counties (Although, there are four urban counties, state CDBG serves some small communities within two of the counties.) CDBG-CV primarily served non-entitlement areas but expanded into urban areas also. Another example is HOPWA. The North Carolina HOPWA program serves 71 of the 100 counties in the State of North Carolina. The remaining 29 counties are served through other HOPWA Grantees.

ESG: The North Carolina Emergency Solutions Grant (ESG) serves all 100 counties in North Carolina. Within the ESG network there are 12 CoCs. One of those 12 is administered by the Balance of State. (BoS) The BoS has 13 regions serving 79 counties that are within their CoC.

HOME: HOME funds are distributed statewide, with an emphasis on geographic coverage in each program. Rental development and preservation programs permit HOME funds in developments in all 100 counties. All geographies in North Carolina are eligible for the Single-Family Rehabilitation Loan Pool program except for the five CDBG large entitlements (Durham, Charlotte, Greensboro, Raleigh, and Winston-Salem). Counties are funded in a three-year rotation, so each year provides new multi-year funding for a third of the state. For HOME funded affordable homeownership, all counties in the state are eligible geographies, provided that there is a partner able to serve the county. HOME Match funds also can be distributed statewide following NC Session Law 2005-276.

HTF: Under the Rental Production Program, rental developments may use HTF in all counties, consistent with the state's Qualified Allocation Plan. Under the Rental Preservation Loan Program, the intent is to serve the state as a whole and funds can assist eligible projects anywhere in North Carolina, with priority given to lower income counties.

Geographic Distribution

Target Area	Percentage of Funds
Statewide	100

Table 10 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

CDBG: The primary statutory objective of the CDBG program is to develop viable communities by providing decent housing and a suitable living environment and by expanding economic opportunities. These grants primarily serve persons of low- and moderate-income, as the State must ensure that at

least 70 percent of its CDBG grant funds are used for activities that benefit these persons.

HOPWA: The North Carolina HOPWA program serves 71 of the 100 counties in the State of North Carolina. The remaining 29 counties are served through other HOPWA Grantees.

HOME: The preference is for HOME dollars to serve the entire state. Homeowner rehabilitation makes an exception for the larger entitlement jurisdictions in the state that receive a large HOME Allocation directly from HUD.

HTF: HTF can be used in all areas of the state. Programs may make priorities for certain county conditions.

Discussion

None

Affordable Housing

AP-55 Affordable Housing – 24 CFR 91.320(g)

Introduction:

Affordable housing remains a priority in the State of North Carolina. Across all programs, North Carolina anticipates assisting 3500 households in 2024.

One Year Goals for the Number of Households to be Supported	
Homeless	1,000
Non-Homeless	2,500
Special-Needs	983
Total	4,483

Table 11 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	228
The Production of New Units	1,700
Rehab of Existing Units	325
Acquisition of Existing Units	500
Total	2,753

Table 12 - One Year Goals for Affordable Housing by Support Type

Discussion:

CDBG allows non-entitlement units of local governments to tailor applications for funding based on local needs. This creates a challenge to anticipate the number of households to be assisted by type. The programs, however, preserve and create housing, allows for emergency and transitional housing, creation and preservation of public facilities and improvements, and the creation and retention of jobs.

For HOME and HTF, an estimated 900 new units will be produced, 325 units will be rehabilitated, and 500 units will be acquired through homeownership.

AP-60 Public Housing - 24 CFR 91.320(j)

Introduction:

The State of North Carolina welcomes public housing authorities in non-entitlement areas as partners and program participants. This is especially true for the NC Commerce, Rural Economic Development Division (REDD) and the North Carolina Housing Finance Agency. Although state agencies do not have direct responsibilities for management and oversight of PHAs, NC Commerce and NCHFA acknowledges the importance of and seeks to engage with these affordable housing providers.

As the lead agency, NC Commerce reviews the annual action plans for PHAs for certification of consistency with the State's Consolidated Plan. REDD also reviews requests for assistance with environmental reviews, but most recently refers PHAs to HUD due to geographic location (i.e., entitlement areas), staffing limitations, and work volume.

PHAs in non-entitlement areas generally partner with local units of government to submit projects. Recent examples are Eatmon Townhouses in the City of Wilson and Linden Row Apartments in the City of Sanford.

Actions planned during the next year to address the needs to public housing

The State of North Carolina will continue to work with PHAs through partnerships when requested and as program participants. We will also check the current list of "troubled" PHAs and offer assistance based on need and agency resources.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

The State of North Carolina supports PHAs encouragement of housing resident participation in management and participation in homeownership programs available through the state's CPD funding sources.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

For all public housing authorities classified as troubled, REDD CDBG will assess the need and explore options to provide assistance and resources. Through the appropriate CPD programs, the state will work with the NC Plan Partners to identify and assist public housing authorities. As an example, we will seek opportunities to rehabilitate properties or build new units through the Low-Income Housing Tax Credit Program or HOME allocation. CDBG funds will also be considered for public housing modernization and provision of public infrastructure and improvement support. If a PHA meets the requirements for a

Community Based Development Organization, CDBG funds can also be used for the creation of new units.

Currently, the State of North Carolina has one PHA, Sanford Housing Authority, that is designated as "troubled "by HUD. As the lead agency, NC Commerce reviewed the SHA annual action plan and contacted SHA to discuss needs and to explore assistance opportunities. After the initial meeting with SHA, multiple needs were identified including emergency repairs for public housing units, a potential rehabilitation of a former senior housing development, and potential conversion of utilities to individual meters. REDD CDBG will continue to work with SHA with the goal of assisting with one or more of these needs.

Discussion:

The State of North Carolina is preparing for the development of the 2026-2030 Consolidated Plan. As part of the planning process, many organizations and groups are included in the citizen input process. PHAs will be contacted to inquire about needs that can be reasonably supported and to identify other ways affordable housing options such as homeownership can be provided to its residents.

AP-65 Homeless and Other Special Needs Activities – 91.320(h)

Introduction

One of the State of North Carolina's highest priorities is creating and preserving affordable housing, especially for persons experiencing homelessness and other special needs. This most evident in the State's effort to coordinate state agencies to address the affordable housing and homeless needs. Additionally, Governor Roy Cooper has relaunched the North Carolina Interagency Council for Coordinating Homeless Programs. The State under the leadership of the North Carolina Department of Health and Human Services has also developed a strategic housing plan which includes a focus on interagency coordination.

NCDHHS has released a draft of its Strategic Housing Goals, Objectives and Strategies, which will culminate in the development of a Strategic Housing Plan designed to address the housing needs of individuals with disabilities, currently receiving or eligible for DHHS-funded services at the state and local levels, who are either homeless, currently residing in congregate settings or at-risk of entry into these settings. Developed with stakeholders from across the state, the plan will provide a strategic guide to focus policy efforts and resource decision making in creating and maximizing community-based housing opportunities for identified populations over a five-year horizon. The draft workgroup goals, objectives and strategies document was open for public comment through June 10, 2022. The final version is under development.

Lastly, the State has two focused resources to assist with funding. The Emergency Solutions Grant (ESG) Program is targeted at homeless activities, as described below. The HOME American Rescue Plan (ARP) Program will also be targeted at homeless and homeless prevention activities. NCHFA has implemented the Supportive Housing American Rescue Plan (SHARP) Program. Through the SHARP Program, 12 projects have been awarded for a total of \$43,718,306 and about \$14,930,000 remains expected to be awarded. The State was also selected for the Recovery Housing Program, a demonstration program through the SUPPORT Act to provide stable housing for persons recovering from substance abuse disorder. Other resources such as CDBG and HOME are used to provide long-term stable affordable housing.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

In 2022, Governor Roy Cooper reestablished the North Carolina Interagency Council for Coordinating Homeless Programs (ICCHP). This council will develop, implement, and oversee the strategic plan to

direct funding sources to address the critical needs. Each NC Plan Partner agency is represented on the council. Specifically, the DHHS, NCHFA, and NC Commerce.

The Emergency Solutions Grant is administered by the North Carolina Department of Health and Human Services, Division of Aging. The program helps meet the needs of those experiencing homelessness or those at risk of homelessness by encouraging local grantees to develop innovative projects which better address the causes, conditions, and problems of homelessness / housing and to serve as an advocate for low-income persons and families that are homeless or at-risk of homelessness.

Addressing the emergency shelter and transitional housing needs of homeless persons

Through the CDBG Neighborhood Revitalization program, non-entitlement communities can apply for funding to construct and rehabilitate facilities to provide emergency shelter and transitional housing for homeless persons.

The Emergency Solutions Grants Program is a state administered program. The ESG program's goals are to assist people in preventing or ending their homelessness by supporting two primary functions: Emergency Response Activities, including emergency shelter operations and services as well as street outreach, and Housing Stability Activities including homelessness prevention and rapid re-housing. Emergency Response Activities focus on the needs of the homeless:

Street Outreach: Providing essential services to unsheltered homeless people; connecting them with emergency shelter, housing, or critical services; and provide urgent non-facility-based care to unsheltered homeless people who are unwilling or unable to access emergency shelter, housing, or an appropriate health facility.

Shelter Operations: Providing costs to operate an emergency shelter.

Shelter Services: Providing essential services are services to homeless families and individuals in emergency shelters, including case management, childcare, education, employment, and life skills, legal services, mental health, substance abuse services, transportation, and services for special populations.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The Homelessness Prevention and Rapid Re-Housing Program provide financial assistance and services

to prevent individuals and families from becoming homeless and help those who are experiencing homelessness to be quickly re-housed and stabilized. The funds under this program are intended to target individuals and families who would be homeless but for this assistance. The funds will provide for a variety of assistance, including short-term or medium-term rental assistance and housing relocation and stabilization services, including such activities as mediation, credit counseling, security or utility deposits, utility payments, moving cost assistance, and case management.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

The Homelessness Prevention and Rapid Re-Housing Program provide financial assistance and services to prevent individuals and families from becoming homeless and help those who are experiencing homelessness to be quickly re-housed and stabilized. The funds under this program are intended to target individuals and families who would be homeless but for this assistance. The funds will provide for a variety of assistance, including short-term or medium-term rental assistance and housing relocation and stabilization services, including such activities as mediation, credit counseling, security or utility deposits, utility payments, moving cost assistance, and case management. Individuals are served through a coordinated entry process at the local level.

Discussion

Through services provided by emergency shelter and services programs individuals and families work with staff to both prevent homelessness and to use strategies that will prevent a lapse back into homelessness. Due to the severe impact of Hurricane Helene in western North Carolina, the State received a \$3 million Rapid Unsheltered Survivor Housing (RUSH) ESG Disaster Relief Program to address housing and service needs in the region. The funds will be used as part of the States' Back@Home initiative.

AP-70 HOPWA Goals – 91.320(k)(4)

One year goals for the number of households to be provided housing through the use of HOPWA for:	
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	531
Tenant-based rental assistance	248
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	31
Total	810

AP-75 Barriers to affordable housing – 91.320(i)

Introduction:

Public policies related to the cost of housing and incentives to develop, preserve, or rehabilitate affordable housing vary throughout the state. In North Carolina, counties and cities develop their own land use, zoning, and development guidelines in accordance with state law. Various counties and municipalities across the state have implemented policies to promote more affordable housing from more permissive zoning to more streamlined permitting processes. In addition to policies impacting housing supply, ensuring and increasing access to existing opportunities such as Housing Choice Vouchers and rental assistance can also lower barriers to affordable housing.

In addition, the State of North Carolina recently updated its Analysis to Impediments to Fair Housing (AI). This process involves a thorough examination of the laws and regulations that impact the construction of affordable housing as well as a review of industry practices and conditions that limit fair housing choice. Previous analysis from 2015 highlighted patterns in mortgage lending, discrimination in the housing market, and policies and practices of individuals and businesses in the housing market. In the latest version, the AI evaluated residential segregation patterns, how private and public sectors policies expand or restrict housing choice for the protected classes and identified barriers to fair housing choice.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

The state is exploring ways to incentivize development and other strategies to expand housing affordability. Most recently, the state passed a new law that consolidated, reorganized and modernized the state's planning and development regulation statutes. Most notably, it reinstated a requirement for counties and municipalities to create a comprehensive plan as a condition for enforcement and application of zoning ordinances.

In PY 2023, North Carolina will continue to address its strategies identified in the Strategic Plan of the 2021-2025 Consolidated Plan and continue implementing the goals identified in the Analysis of Impediments. Funding, especially CDBG, will be provided as grants to local governments to help reduce the increasing labor and material costs for housing construction. In addition, NC Commerce and NCHFA will provide technical assistance to program participants on rehabilitation statutes and building regulations, continue to support collaboration among housing partners, and support public and private funding for housing developments.

Additionally, the Analysis of Impediments provides the list of actions below along with measurable

objectives for the state to pursue.

- The NC Department of Commerce will collaborate with its AI partner agencies to expand fair housing education and enforcement.
- NCHFA will continue expanding new rental housing production through LIHTC and HTF programs and state programs and prioritizing the preservation of rental housing with expiring subsidies.
- NCHFA will continue to fund and offer fair housing training as well as update both its internal language access plans as well as its guidance for agency partners.
- NCHFA and NCDHHS will continue its planning initiative to meet the state's obligation under Olmstead to provide appropriate housing for persons with disabilities.
- NCHFA, in partnership with the North Carolina Housing Coalition and Centrant, hosts the NC Affordable Housing Conference annually, featuring sessions that explore fair housing and other affordable housing topics.
- The Department of Commerce and its AI partner agencies will provide funding for homeownership counseling and economic development initiatives aimed at improving the financial stability of households and communities.
- Department of Commerce and its AI partner agencies will each maintain complete Language Access Plans and review them annually to identify changes in population trends, which might require updating the Plans.

A copy of the NC Analysis of Impediments is located at <https://www.nccommerce.com/grants-incentives/community-housing-grants/analysis-impediments-fair-housing-choice-ai#documents-|-ai-report-development>.

Discussion:

None

AP-85 Other Actions – 91.320(j)

Introduction:

HOME: HOME is a federal block grant specifically designed exclusively to create affordable housing for low-income households.

HTF: HTF is targeted to assist extremely low-income households. HTF will reduce the housing costs of extremely low-income families and increase the resources available to meet other consumer needs.

Actions planned to address obstacles to meeting underserved needs

North Carolina's 2021-2025 Consolidated Plan outlined the needs and identified the priorities. In 2024, NC will continue to target funds to increase affordable housing, address public infrastructure needs, and serve homeless and near homeless persons. Through the disaster recovery programs, NC continues to focus on recovery and mitigation from recent hurricanes, floods, and tornadoes.

Actions planned to foster and maintain affordable housing

The State of North Carolina will continue to invest HOME, Housing Trust Fund and CDBG funds to promote the acquisition, production, preservation, and rehabilitation of affordable housing for individuals and families earning below 80% area median income. These funds are often leveraged in conjunction with other federal, state, and private resources including the Low-Income Housing Tax Credit, multifamily tax-exempt bond authority and the North Carolina Housing Trust Fund. Based on the multiple relief and recovery efforts in response to the Covid-19 pandemic, the state has received additional federal funding in relief packages like the CARES Act and the American Rescue Plan that has been put toward emergency rental and mortgage assistance, temporary and permanent housing for people experiencing homelessness, and other housing-related activities.

Actions planned to reduce lead-based paint hazards

Lead-based paint policies are in effect for each of the five formula programs in the state. HOME and HTF funded programs ensure that the work addresses requirements found in the Lead Safe Housing Rule (LSHR) at 24 CFR Part 35.

Actions planned to reduce the number of poverty-level families

The NC Plan Partners work to address the housing and community development needs of individuals and households earning below 80% area median income. The programs and services detailed in this plan

increase the financial stability and economic opportunity of these individuals and households through the provision of safe and affordable housing and support services. Low-income households who live in affordable housing can spend more each month on food, health care, and other non-housing essentials. Affordable housing situated in areas of opportunity can increase access to quality jobs and schooling, which can help households break out of the cycle of poverty.

Actions planned to develop institutional structure

All three of the Consolidated Planning partners develop partnerships locally, regionally and across the state to meet the housing and community needs of North Carolinians. Through partnerships with CHDOs, regional governments and social services, the State has invested in the existing structure to deliver programs and services while requiring partners to meet certain standards of quality and safety. NCHFA provides training opportunities and technical assistance for partners that participate in rental development, home-buying or rehabilitation programs. NCHFA works with thousands of partners across the state to invest financing for apartments and homes, affordable mortgage products, rental and owner-occupied housing rehabilitation, foreclosure prevention, supportive housing and rental assistance. Our extensive partner network ensures that every county in the state benefits from affordable housing investments.

Additionally, the Governor of North Carolina appointed and convened members of the North Carolina Interagency Council for Coordinating Homeless Programs. ICCHP continues in 2024 and brings together agency leaders, state representatives, and community members. The primary charge of ICCHP is to develop a plan with actionable strategies to prevent and end homelessness across North Carolina while increasing opportunities for housing stability and improving health and wellbeing. The plan also dovetails with existing plans, builds on effective programs and strategies underway, and drives statewide coordination and action to prevent and end homelessness.

In addition to ICCHP, the NC DHHS is finalizing its five-year plan to:

Develop a comprehensive 5-year strategic housing plan to create affordable, accessible, and inclusive housing that supports the whole individual by improving services, funding, communication, and statewide coordination to eliminate barriers to quality, affordable, and accessible housing for the identified population.

Further the plan includes five goals with one focusing on increasing coordination among state agencies. The plan is complete and scheduled to be released in the fall of 2024.

Actions planned to enhance coordination between public and private housing and social

service agencies

The NC Plan Partners foster relationships above and beyond the above-referenced programs. By partnering with developers, local and regional governments, social service agencies and non-profit organizations, the State has invested in the systems and structures required to implement programs while upholding standards of quality and affordability through monitoring and compliance. In addition we will continue to reach out to local developers and non-profits that are interested in building affordable housing and advise them of available resources from other partners in the State and our NC Partners.

Discussion:

None

Program Specific Requirements

AP-90 Program Specific Requirements – 91.320(k)(1,2,3)

Introduction:

This section addresses program specific requirements for CDBG, HOME, HTF, and ESG.

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.320(k)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	4,700,000
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	4,700,000

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	70.00%

HOME Investment Partnership Program (HOME)

Reference 24 CFR 91.320(k)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is

as follows:

To conduct housing-related activities, NCHFA uses nonfederal funds, federal funds and the private market. The nonfederal sources offer the NCFHA even more opportunities and flexibility to serve all priority categories. The available funding sources include the North Carolina Housing Trust Fund; state-appropriated HOME Match, the NC Workforce Housing Loan Program, the Low-Income Housing Tax Credit Program, bond financing, and Mortgage Credit Certificates.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

Homebuyer loan subsidies (except for the Self-Help Loan Pool) will be provided as subordinate deferred-payment loans that are not forgiven. Homebuyer loans under the Self-Help Loan Pool will be provided as principal-only amortizing loans. Loans under all home buyer programs can be called (1) when the property is sold, (2) when the property is no longer the home buyer's principal residence, (3) at the end of the loan term, or (4) when the first mortgage is refinanced. If the net proceeds of the sale of the property are insufficient to repay the home buyer loans in full, the Agency will accept its pro rata share of the net proceeds in full satisfaction of the loan. However, exceptions may be granted, and assumptions may be allowed for an income-qualified heir or lineal descendant that will reside in the home as a principal residence.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Homebuyer programs funded with HOME follow recapture requirements. and ensure that the State recoups all or a portion of the HOME assistance to the homebuyers. As stated in number 2 above, home buyer loan subsidies except for the Self-Help Loan pool will be provided as subordinate deferred-payment loans that are not forgiven. Homebuyer loans under the Self-Help Loan Pool will be provided as principal-only amortizing loans. Loans under all home buyer programs can be called (1) when the property is sold, (2) when the property is no longer the home buyer's principal residence, (3) at the end of the loan term, or (4) when the first mortgage is refinanced. If the net proceeds of the sale of the property are insufficient to repay the home buyer loans in full, the Agency will accept its pro rata share of the net proceeds in full satisfaction of the loan. However, exceptions may be granted, and assumptions may be allowed for an income-qualified heir or lineal descendant that will reside in the home as a principal residence

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that

will be used under 24 CFR 92.206(b), are as follows:

With the exception of the RPLP program HOME funds are not used for refinancing existing debt, even in developments rehabilitated with HOME funds. For RPLP, NCHFA may determine that refinancing of senior debt is necessary for the fiscal fitness of the property. In no event will the pro-rated portion of the refinance proceeds (refinance funds/total debt on the property at the time of application) exceed the pro-rated portion of the rehabilitation costs/total value of the property at the time of application). Additionally, deeper targeting may necessitate using HTF funds for operating reserves and/or assistance to ensure the financial viability of the project.

5. If applicable to a planned HOME TBRA activity, a description of the preference for persons with special needs or disabilities. (See 24 CFR 92.209(c)(2)(i) and CFR 91.220(l)(2)(vii)).
6. If applicable to a planned HOME TBRA activity, a description of how the preference for a specific category of individuals with disabilities (e.g. persons with HIV/AIDS or chronic mental illness) will narrow the gap in benefits and the preference is needed to narrow the gap in benefits and services received by such persons. (See 24 CFR 92.209(c)(2)(ii) and 91.220(l)(2)(vii)).
7. If applicable, a description of any preference or limitation for rental housing projects. (See 24 CFR 92.253(d)(3) and CFR 91.220(l)(2)(vii)). Note: Preferences cannot be administered in a manner that limits the opportunities of persons on any basis prohibited by the laws listed under 24 CFR 5.105(a).

Emergency Solutions Grant (ESG) Reference 91.320(k)(3)

1. Include written standards for providing ESG assistance (may include as attachment)

The U.S. Department of Housing and Urban Development requires (24 CFR 576.400) subrecipients to adhere to the Written Standards, developed and approved by their CoC for programs provided through the Emergency Solutions Grant Program. These guidelines must be followed when developing these Standards. Each program activity will have different standards. For example, standards for emergency shelter programs will be different than Standards for rapid rehousing and homeless prevention. Subrecipients must ensure that the Standards are appropriate for the programs offered. CoC's assure upon approval of the sub-recipients RFA for submission that they will adhere to the written standards. The ESG office further assures compliance through its monitoring process. Each subrecipient written standards are reviewed annually by the state using a HUD checklist.

2. If the Continuum of Care has established a centralized or coordinated assessment system

that meets HUD requirements, describe that centralized or coordinated assessment system.

HUD requires (24 CFR 576.400) that all CoC's establish and operate a fully functional Centralized Coordinated Entry system that all ESG funded activities and subrecipients must utilize. This system must serve all homeless and at risk of homeless populations and subpopulations. All ESG funded organizations are required to follow the Coordinated Entry process adopted by their CoC. Upon request, ESG funded subrecipients must be able to provide the ESG Office with a report of the referrals received through the Coordinated Entry system adopted by their CoC.

3. Identify the process for making sub-awards and describe how the ESG allocation is available to private nonprofit organizations (including community and faith-based organizations).

The NC Emergency Solutions Grant Program uses the formula of the HUD Pro Rata Share to determine available funding to CoCs/Regions. Local units of government and non-profit organizations, including community and faith-based organizations, can submit Project Applications to CoCs/Regions. Upon doing so, CoCs/Regions recommend projects for funding in the NC Emergency Solutions Grants Request for Application. NC ESG staff then review and score Regional and Project Applications for minimum threshold criteria. The NC ESG Office will accept one regional application per CoC. Project applications that are not recommended for funding in the regional application will not be reviewed. CoCs will recommend nonprofit and/or local government organizations to be subrecipients of ESG funds. Once approved, these recommended organizations will contract directly with the State or subcontract with the region's Fiscal Sponsor.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The NC ESG Program requires each sub-recipient to identify a homeless or formerly homeless person that is a member of the agency Board of Directors. Subrecipients involve homeless or formerly homeless persons on the board of directors or other policy/decision making body regarding NC ESG assistance. Subrecipients unable to meet this requirement must develop and implement a plan to consult with homeless or formerly homeless persons in considering and making policies regarding NC ESG assistance and to be eligible to submit and RFA. The NC ESG office further assures this through monitoring sub-recipients.

5. Describe performance standards for evaluating ESG.

The NC ESG Program uses performance measures rubrics as a tool to determine the success of a project and how well services and products are being delivered. Together they enable the NC ESG Program to gauge efficiency, determine progress toward desired results and access if subrecipients

are meeting goals. Subrecipients must adhere to:

- Entering all appropriate client data completely and accurately into the statewide HMIS.
- Assure that 32% of statewide exists from shelters are to permanent housing
- Assure 81% of rapid re-housing persons are placed in permanent housing.

Evaluation Measure Data Entry – 100% of the ESG CAPER, by the designated deadline.

Explanatory note Subrecipients must collect and ensure data entry into a HMIS/compatible data system.

Compliance Measure It is a requirement of HUD that all NC ESG subrecipients provide accurate data to the NC ESG Office by the designated deadline.

Evaluation Measure Program Compliance - On-site or desk monitoring by the NC ESG Office yields 20% or less findings / concerns in cumulative records reviewed during the contract period. (Examples: requisitions, client files, written standard adherence, coordinated entry participation etc.)

Explanatory note Program compliance must be followed as a condition of funding.

Compliance Measure Subrecipient must meet or exceed 80% of program compliance.

Evaluation Measure Spend Down Rate - Subrecipient submitted 1 requisition per month within the 45-day submission period, with at least 1 requisition leading to a disbursement of NC ESG funds per quarter.

Explanatory note Requests for allocated fund reimbursement must be made monthly and all funds used by the close of the contract period.

Compliance Measure Subrecipient will ensure that all funds allocated are requisitioned monthly and spent within the terms of the contract.

Evaluation Measure Requisition Submission - 10 or more requisition submissions by the subrecipients were not discarded.

Explanatory note Reimbursement accuracy is key to assuring programs receive funding in a timely and accurate manner. Incorrect submissions delay reimbursement and affect overall spending.

Compliance Measure Subrecipient will ensure the accuracy of reimbursement submissions 80% of the time

Evaluation Measure Training Attendance - Attendance at trainings held via webinar, conference calls, face-to-face etc.

Explanatory note The trainings provided by the NC ESG Office enhance compliance, coordinated and effectiveness of program execution.

Compliance Measure Subrecipient will attend 80% of the NC ESG Office facilitated trainings between January 1 and December 31.

**Housing Trust Fund (HTF)
Reference 24 CFR 91.320(k)(5)**

1. How will the grantee distribute its HTF funds? Select all that apply:

Applications submitted by eligible recipients

2. If distributing HTF funds through grants to subgrantees, describe the method for distributing HTF funds through grants to subgrantees and how those funds will be made available to state agencies and/or units of general local government. If not distributing funds through grants to subgrantees, enter "N/A".

N/A

3. If distributing HTF funds by selecting applications submitted by eligible recipients,

a. Describe the eligibility requirements for recipients of HTF funds (as defined in 24 CFR § 93.2). If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

The State will primarily distribute its HTF funds to eligible applicants through NCHFA's rental development and rehabilitation programs, including the Rental Production Program (RPP) and Rental Preservation Loan Program (RPLP), which provide long-term financing for the construction of new, affordable rental housing projects, for the rehabilitation of affordable rental housing, and for related permissible activity. The State will not distribute any HTF funds through subgrantees. The State will require that all recipient applications contain a description of the eligible activities to be conducted with HTF funds and that each eligible recipient certifies that housing assisted with HTF funds will comply with HTF requirements. The state may also distribute HTF funds through the Supportive Housing Development Program (SHDP) which seeks to increase and maintain permanent supportive housing in the most integrated setting appropriate for adults and children with special needs below 50% AMI. SHDP HTF-funded housing would be limited to serving households at or below 30% AMI.

b. Describe the grantee’s application requirements for eligible recipients to apply for HTF funds. If not distributing funds by selecting applications submitted by eligible recipients, enter “N/A”.

For RPP, NCHFA will use the application process and eligibility requirements described in North Carolina's Qualified Allocation Plan (QAP) in awarding HTF funds (available online). The QAP details applicant eligibility, eligible activities, what applications must include, when and where applications are to be submitted, the criteria by which applications will be evaluated, who will review applications, and when awards are to be made. Awards through RPP may include funds for rehabilitation, acquisition, refinancing, or operating reserves/assistance.

For RPLP, NCHFA will use an application plan and selection criteria as detailed below. Awards through RPLP may include funds for rehabilitation, acquisition, refinancing, or operating reserves or assistance.

For SHDP, NCHFA will use an application plan and selection criteria as detailed below. Awards through SHDP may include funds for rehabilitation, acquisition, new construction, or operating reserves or assistance of eligible developments serving individuals with special needs.

c. Describe the selection criteria that the grantee will use to select applications submitted by eligible recipients. If not distributing funds by selecting applications submitted by eligible recipients, enter “N/A”.

RPP selection criteria are designed to identify the best applications based on site, market, rent affordability, development costs, project team, design and construction, energy efficiency, efficient use of tax credits, units for mobility-impaired individuals and ability to achieve community integration under to North Carolina’s 2012 Olmstead settlement agreement with the U.S. Department of Justice. Appendix J of QAP outlines the additional criteria for those tax credit applications requesting HTF funds.
https://www.nchfa.com/sites/default/files/page_attachments/QAP24-FinalQAP.pdf

RPLP loans are to be awarded using selection criteria including, but not limited to the following required threshold criteria and additional selection criteria if there are more applicants than funding available:

Required Threshold Criteria:

- Need for rehabilitation for critical building components and health and safety needs
- Projects must have an owner in good standing with NCHFA
- Project Contractors, borrowers, developers and their subcontractors, or subsequent recipients must be in good standing with the federal and state government
- Applicant must have successfully owned and operated multifamily rental housing for a minimum of three years with no material or uncorrected noncompliance issues unless there is a plan of

action to address the issue(s) that has been accepted by the Agency.

- Applicant must have sound business practices as well as financial structure and viability
- Experience, including performance history, of development team and management agent(s)

Additional Criteria:

- Ability to serve the lowest-income households, specifically those at or below 30% of AMI
- County income designation – priority will be given to projects in lower income counties
- Priority for applicants using below market loans or construction loans.

For more information, visit <https://www.nchfa.com/rental-housing-partners/rental-preservation-partners>

SHDP loans are to be awarded according to the criteria and methods described in the SHDP Program Cycle Applications Guidelines and Instructions.

https://www.nchfa.com/sites/default/files/page_attachments/SHDPPProgramGuidelines.pdf. These include that a site meets minimum site standards, and scoring based on the following categories:

- Project location and site suitability
- Housing development and organizational capacity
- Financial structure and project readiness
- Ability to serve the lowest-income tenants and persons with disabilities

d. Describe the grantee’s required priority for funding based on geographic diversity (as defined by the grantee in the consolidated plan). If not distributing funds by selecting applications submitted by eligible recipients, enter “N/A”.

For RPP, NCHFA portions its LIHTC new construction awards across the state’s regions promoting geographic diversity and equity. Additionally, the Agency avoids concentrations of awards in singular areas of the state. Furthermore, following North Carolina’s 2012 Olmstead settlement agreement with the U.S. Department of Justice, the 2024 QAP includes competitive points for the “Olmstead settlement Initiative” that, in part, are awarded based on geographic NCDHHS priority counties.

For RPLP, NCHFA prioritizes applications that serve low-income counties, followed by moderate-income counties and then high-income counties. County income status is based on HUD’s median family

income.

e. Describe the grantee’s required priority for funding based on the applicant's ability to obligate HTF funds and undertake eligible activities in a timely manner. If not distributing funds by selecting applications submitted by eligible recipients, enter “N/A”.

Grantees (i.e., the State) must “commit” their HTF within two years, and expend within five years. All tax credit projects have a strict window for completion and must be placed in service by December 31 two years after the award of credits. For example, applications awarded tax credits in 2022 must be placed in service no later than December 31, 2024. There is also a requirement for projects to expend ten percent of the costs within 12 months of allocation. Using HTF with tax credits ensures that all projects will adhere to the requirement to obligate the funds and undertake eligible activities within 12 months. Appendix J of the QAP further states the ability to obligate funds and undertake activities in a timely manner will be a threshold requirement for HTF approval. NCHFA will request a timeline as part of the application for projects requesting HTF funds to verify the applicant’s ability to meet all deadlines. RPLP projects must adhere to funding source requirements and regulations and are required to timely report and update NCHFA on project progress. The RPLP program has the right to further prioritize projects that meet funding source requirements, including timeliness.

f. Describe the grantee’s required priority for funding based on the extent to which the rental project has Federal, State, or local project-based rental assistance so that rents are affordable to extremely low-income families. If not distributing funds by selecting applications submitted by eligible recipients, enter “N/A”.

Housing affordable to extremely low-income families - those with incomes below 30% AMI or federal poverty line, whichever is higher - is defined as housing in which those families pay no more than 30 percent of their household income for housing costs, including utilities. Project-based rental assistance includes, but is not limited to, unit-based rental assistance, operating subsidies and cross-subsidization of rents within the project. All tax credit applicants and RPLP must set-aside a minimum of ten percent of the total units for persons with disabilities and all these units (referred to as “Targeted Units”) are eligible for state-funded project-based rental assistance known as Key Rental Assistance. Therefore, all rental projects eligible for HTF will be eligible for project-based rental assistance. Rental development applicants who have other types of project-based rental assistance must also provide the source and number of units receiving project-based rental assistance as part of their applications. Project-based rental assistance is stated as a priority in QAP Appendix J.

g. Describe the grantee’s required priority for funding based on the financial feasibility of the project beyond the required 30-year period. If not distributing funds by selecting applications submitted by eligible recipients, enter “N/A”.

The minimum affordability period is 30 years.

For HTF-funded RPP awards, a statement is included in QAP Appendix J to indicate that it is a threshold requirement for projects requesting HTF to have a minimum 30-year affordability period.

For HTF-funded RPLP awards, awards are made as loans and shall require the project to maintain the period of affordability for the full term of the loan, and in no event shall be less than the required minimum period of affordability.

For HTF-funded SHDP awards, awards are made as loans and shall require the project to maintain the period of affordability for the full term of the loan, and in no event shall be less than the required minimum period of affordability under the applicable funding source regulations.

h. Describe the grantee's required priority for funding based on the merits of the application in meeting the priority housing needs of the grantee (such as housing that is accessible to transit or employment centers, housing that includes green building and sustainable development features, or housing that serves special needs populations). If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

The QAP indicates what the State has determined to be the priority housing need(s) it intends to address based on the outcome of its HTF citizen participation process and priority needs indicated in its current Consolidated Plan. The State's Consolidated Plan as well as NC Housing Finance Agency's Strategic Plan, defines households earning 30 percent or less of the AMI to be a high-priority population. The QAP requires that at least 25 percent of the qualified low-income units in a proposed tax credit project be affordable to and occupied by households with incomes at or below 30 percent of AMI to be eligible to receive HTF funds.

i. Describe the grantee's required priority for funding based on the extent to which the application makes use of non-federal funding sources. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

All tax credit projects require leveraging of sources, public and private, to fully fund the cost of each housing development. Although the QAP does not provide points for leveraging, NCHFA provides additional opportunities to receive state funding through the state Housing Trust Fund and the Workforce Housing Loan Program to encourage leveraging. Appendix J further states priority will be given to projects based on leveraging of non-federal funds. In the event there is a tie in QAP scoring among projects seeking HTF funding, the project with the lowest percentage of federal funding to total sources will be used as the second tie breaker.

The SHDP program requires a minimum ratio of firmly committed matching funds. This ratio is 30% for

CDBG entitlement areas and 40% for non-entitlement areas. Additionally, as an incentive for increased firmly committed matching funds SHDP offers points in its application competition.

The RPLP program provides a priority in the award competition for projects that secure at least one new below market loan or other financial support to the project.

4. Does the grantee's application require the applicant to include a description of the eligible activities to be conducted with HTF funds? If not distributing funds by selecting applications submitted by eligible recipients, select "N/A".

Yes

5. Does the grantee's application require that each eligible recipient certify that housing units assisted with HTF funds will comply with HTF requirements? If not distributing funds by selecting applications submitted by eligible recipients, select "N/A".

Yes

6. Performance Goals and Benchmarks. The grantee has met the requirement to provide for performance goals and benchmarks against which the grantee will measure its progress, consistent with the grantee's goals established under 24 CFR 91.315(b)(2), by including HTF in its housing goals in the housing table on the SP-45 Goals and AP-20 Annual Goals and Objectives screens.

Yes

7. Maximum Per-unit Development Subsidy Amount for Housing Assisted with HTF Funds. Enter or attach the grantee's maximum per-unit development subsidy limits for housing assisted with HTF funds.

The limits must be adjusted for the number of bedrooms and the geographic location of the project. The limits must also be reasonable and based on actual costs of developing non-luxury housing in the area.

If the grantee will use existing limits developed for other federal programs such as the Low-Income Housing Tax Credit (LIHTC) per unit cost limits, HOME's maximum per-unit subsidy amounts, and/or Public Housing Development Cost Limits (TDCs), it must include a description of how the HTF maximum per-unit development subsidy limits were established or a

description of how existing limits developed for another program and being adopted for HTF meet the HTF requirements specified above.

The State will use HOME maximum per unit development subsidy limits.

The HOME maximum per-unit subsidy limits were chosen for consistency as we also use HOME funds with tax credits. Given that HTF rules closely follow other established rules in the HOME program, it is appropriate to rely upon this limit. Currently, there is only one published limit for the entire state. Based on our experience with rental housing development, there are no significant differences in costs that would require any geographic adjustment from the statewide limit. Current subsidy limits are available on the following site: <https://www.hudexchange.info/resource/2315/home-per-unit-subsidy/>

8. Rehabilitation Standards. The grantee must establish rehabilitation standards for all HTF-assisted housing rehabilitation activities that set forth the requirements that the housing must meet upon project completion. The grantee's description of its standards must be in sufficient detail to determine the required rehabilitation work including methods and materials. The standards may refer to applicable codes or they may establish requirements that exceed the minimum requirements of the codes. The grantee must attach its rehabilitation standards below.

In addition, the rehabilitation standards must address each of the following: health and safety; major systems; lead-based paint; accessibility; disaster mitigation (where relevant); state and local codes, ordinances, and zoning requirements; Uniform Physical Condition Standards; Capital Needs Assessments (if applicable); and broadband infrastructure (if applicable).

All NCHFA HTF-assisted rehabilitation program activities are held to the attached rehabilitation standards which incorporate and further require compliance with NCHFA and program-specific requirements including those found in Appendix B of the Qualified Allocation Plan (https://www.nchfa.com/sites/default/files/page_attachments/QAP24-FinalAppendixB.pdf) and Appendix D of the RPLP guidelines (https://www.nchfa.com/sites/default/files/page_attachments/RPLPAppendixD.pdf).

RENTAL REHABILITATION STANDARDS

Housing Trust Fund

February 26, 2024

The following Multi-family Rehabilitation Standards are designed to outline the minimum requirements for building rehabilitation for all NCHFA multi-family housing projects receiving HTF awards.

All construction **must meet** applicable federal, state and local building codes. Manufacturer's instructions must be fully followed for all installations.

GENERAL REQUIREMENTS

A. Health and Safety

- a. If housing is occupied at the time of rehabilitation, any life-threatening deficiencies must be identified and addressed immediately. Life-threatening conditions could include but are not limited to:
 - i. Air quality
 - ii. Blocked Egress/Ladders
 - iii. Electrical Hazards
 - iv. Blocked/unusable emergency fire exits
 - v. Missing/damaged/expired extinguishers
 - vi. Ventilation systems including chimneys
 - vii. Missing/broken outlets, switches or cover plates
- b. Each owner must provide a narrative describing the existing condition of the property and must identify any life-threatening deficiencies and provide a plan for addressing such deficiencies prior to the commencement of renovation activities. The owner must describe how residents who will remain in structures under renovation will be assured of safe egress, protection from fire hazards, noxious fumes, exposure to hazardous materials and loss of security for themselves and their possessions.
- c. All federal, state and local rules, regulations, and guidelines are applicable to all grantees, developers and contractors. This includes health and safety rules and regulations as mandated by the federal Occupational Safety and Health Administration (OSHA) as well as by the North Carolina Department of Labor (NCDOL).

B. Major systems

- a. Major systems, as identified in these standards, are: structural support; roofing; cladding and weatherproofing (e.g. windows, doors, siding, gutters); plumbing; electrical; and heating, ventilation and air conditioning.
- b. Each project owner's architect must complete a systems checklist identifying each major system, its current condition, the proposed scope of rehabilitation and the expected useful life of the system following rehabilitation.

1

C. Lead-Based Paint

- a. All work undertaken in accordance with these standards shall comply with the Lead Safe Housing Rule (LSHR) at [24 CFR Part 35](#), implementing Title X of the 1992 Housing and Community Development Act for HOME funded housing programs. This regulation has been in effect since September 15, 2000. The lead-based paint regulation at 24 CFR Part 35 consolidates all lead-based paint requirements for HUD-assisted housing.
- b. All work undertaken in accordance with these standards shall comply with all applicable laws and codes of the State of North Carolina, and local codes, ordinances and zoning requirements that require compliance with the lead-based paint requirements found at [24 CFR part 35](#).

D. Accessibility

- a. All work undertaken in accordance with these standards must comply with the requirements in 24 CFR Part 8, which implements Section 504 of the Rehabilitation Act of 1973; 28 CFR 35 & 36, which implements the American with Disabilities Act; and 24 CFR 100.205 which implements the Fair Housing Act.
- b. Multifamily rental projects of fifteen units or more that undergo “substantial alterations” must also comply with Section 504’s accessibility requirements. Rehabilitation activities are considered “substantial alterations” when the costs of rehabilitation will be 75 percent or more of the replacement cost of the completed facility.
- c. For projects considered substantial alterations, the common spaces must be made accessible as well as the same number of units required for new construction:
 - i. A minimum of 5 percent of the dwelling units in the project (but not less than one unit) must be accessible to individuals with mobility impairments.
 - ii. An additional 2 percent, at a minimum (but not less than one unit), must be accessible to individuals with sensory impairments.
 - iii. For more information about these requirements, see [Section 504](#).
- d. For rehabilitation of multifamily rental projects that do not meet the definition of substantial alterations, the alterations that are made must, to the maximum extent feasible, make the dwelling units accessible to and usable by individuals with mobility impairments.
- e. Alterations to common spaces must make those areas accessible, to the maximum extent feasible.

E. Disaster Mitigation

- a. To the extent applicable the housing must be improved to mitigate the potential impact of potential disasters (e.g. earthquakes, hurricanes, floods, wildfires) in accordance with state or local codes, ordinances and requirements, or such other requirements that HUD may establish.

2

F. State and local codes, ordinances, and zoning requirements

- a. Projects must adhere to all applicable State of North Carolina and local codes, ordinances and zoning requirements.

G. Minimum Property Occupancy Condition and Housing Standards

- a. After completion of rehabilitation, the project and units:
- must be in good repair, decent, safe and sanitary as required in [24 CFR 93.301\(b\)\(1\)\(viii\)](#);
 - must meet the standards in [24 CFR 5.703](#), except for the carbon monoxide detection requirement at 24 CFR 5.703(b)(2) and (d)(6);
 - must correct any specific deficiencies published in the Federal Register for HTF-assisted projects including, but not limited to, those found at [88 FR 30442](#) establishing the National Standards for the Physical Inspection of Real Estate (NSPIRE); and
 - for SRO housing, the requirements at 24 CFR 5.703(d) shall only apply to the extent that the SRO unit contains the room or facility referenced in 24 CFR 5.703(d).
- b. In the event that the above-referenced regulations and standards are no longer applicable, the project and units must meet the prevailing federal physical condition standards.

H. Capital Needs Assessments

- a. Capital Needs Assessments (CNAs), are property inspection reports that document the current condition of all major building components, recommend specific improvements, and estimate the future costs of property maintenance, as well as determining the cost to repair any parts of a property that must be fixed urgently.
- b. A CNA must address all major systems and provide a unit-by-unit recommended plan of action—listing the system and providing a recommendation for either replacement, repair/upgrade, or no action needed. Additionally, the CNA must identify deferred maintenance items that need addressing. These items include floor coverings, paint, light fixtures, smoke detectors, appliances, etc.
- c. For multifamily projects of 26 or more units*, the developer or grantee must determine all work that will be performed in the rehabilitation of the housing and long-term physical needs of the project through a Capital Needs Assessment (CNA) completed by a qualified third party to support the scope of the planned rehab.
- d. If the reviewing architects conducting the CNA/PNA conclude that the useful life of one or more major systems in the proposed project does not meet the minimum period of affordability, NCHFA will require the owner to establish an appropriately-funded replacement reserve.

**For projects with less than 26 units, the CNA must be completed, but may be completed by the applicant or a qualified member of the applicant's team.*

I. Broadband Infrastructure

- a. Any substantial rehabilitation projects, as defined in [24 CFR 5.100](#), with more than 4 units with new commitments made after January 19, 2017, must provide for the installation of broadband infrastructure.
- b. Exceptions may be made under the following circumstances:
 - i. The location of the substantial rehabilitation makes the installation of broadband infrastructure infeasible.
 - ii. The cost of installing broadband infrastructure would result in a fundamental alteration in the nature of the program or activity or in an undue financial burden.
 - iii. The structure of the project being substantially rehabilitated makes installation of broadband infrastructure infeasible.

J. Construction Documents and Cost Estimates

- a. The construction documents must provide sufficient detail to allow NCHFA to review the project's compliance with these Multi-Family Rehabilitation Standards.
- b. NCHFA will review cost estimates for rehabilitation for cost reasonableness.

K. Frequency of Inspections

- a. NCHFA will conduct an initial property inspection for assisted rehabilitation projects.
- b. NCHFA will conduct progress and final inspections to ensure all rehabilitation work is done in compliance with these Multi-Family Rehabilitation Standards, and other NCHFA, State and Federal requirements.
- c. As needed, NCHFA may conduct more than one progress inspection.

9. Resale or Recapture Guidelines. Below, the grantee must enter (or attach) a description of the guidelines that will be used for resale or recapture of HTF funds when used to assist first-time homebuyers. If the grantee will not use HTF funds to assist first-time homebuyers, enter “N/A”.

N/A

10. HTF Affordable Homeownership Limits. If the grantee intends to use HTF funds for homebuyer assistance and does not use HTF affordable homeownership limits for the area provided by HUD, it must determine 95 percent of the median area purchase price and set forth the information in accordance with §93.305. If the grantee will not use HTF funds to assist first-time homebuyers, enter “N/A”.

Any limitation or preference must not violate nondiscrimination requirements in § 93.350, and the grantee must not limit or give preferences to students. The grantee may permit rental housing owners to limit tenants or give a preference in accordance with § 93.303(d)(3) only if such limitation or preference is described in the action plan.

N/A

12. Refinancing of Existing Debt. Enter or attach the grantee’s refinancing guidelines below. The guidelines describe the conditions under which the grantee will refinance existing debt. The grantee’s refinancing guidelines must, at minimum, demonstrate that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing. If the grantee will not refinance existing debt, enter “N/A.”

NCHFA will use HTF funds for the primary purpose of assisting existing Low-Income Housing Tax Credit projects meet the property standards for rehabilitation projects found in 24 CFR § 93.301(b). Including HTF as part of NCHFA’s goals to rehabilitate properties in danger of existing the tax credit program will greatly preserve the existing affordable housing stock which continues to disappear. As a part of using HTF for the rehabilitation of projects, NCHFA will require projects to meet deeper income targeting to assist extremely low-income households or households with incomes at or below the poverty line (whichever is greater). The consequence of doing so will drive the available rents in the project down and therefore, in some instances, may make it difficult for projects to pay their debt obligations. NCHFA will

evaluate each project for the possibility of refinancing existing debt to help keep the housing affordable and sustainable. In any event, refinancing is ancillary to the rehabilitation of the project and will only be provided if NCHFA determines it is necessary to reduce the overall housing costs and to make the housing more affordable and proportional to the number of HTF-assisted units in the rental project. The proportional rehabilitation cost must be greater than the proportional amount of debt that is refinanced.

Discussion:

N/A

Attachments

Citizen Participation Comments

Citizen Participation Outreach Summary for the 2024 NC Annual Action Plan (AAP)

The State of North Carolina NC Plan Partners held one virtual public hearing to receive public input on the 2024 Annual Action Plan (AAP).

NC Plan Partners: The NC Plan Partners consists of the following four agencies that are the designated administrators for the five U.S. Department of Housing and Urban Development Community Planning and Development (CPD) formula programs:

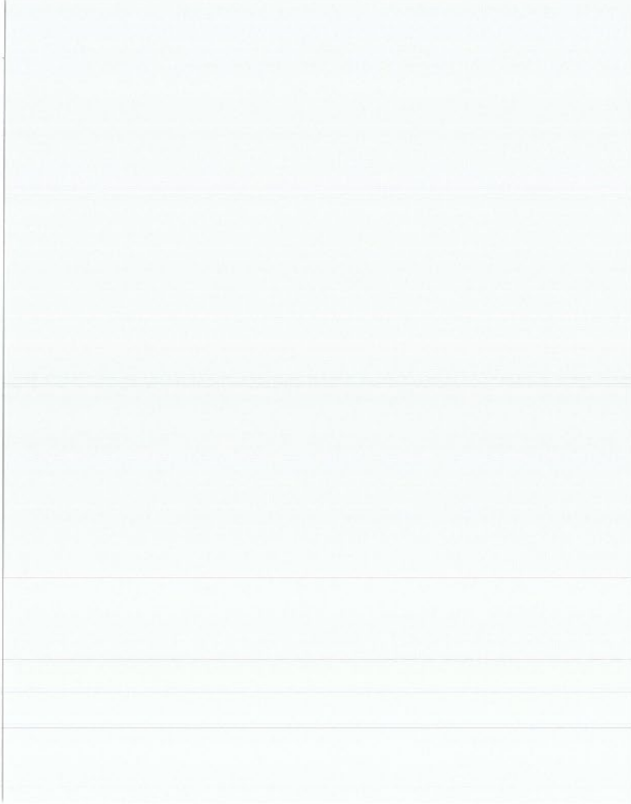
- **CDBG:** North Carolina Commerce, Rural Economic Development Division
- **ESG:** North Carolina Department of Health and Human Services (NC DHHS),
- **HOPWA:** NCDHHS HIV Care Program and
- **HOME and HTF:** North Carolina Housing Finance Agency, Policy, and Research

April 16, 2024 Public Hearing: The April 16, 2024, Public Hearing for the 2024 AAP was held as a virtual meeting using the Microsoft TEAMS Meeting web platform. Participants had the option to use the internet or a call-in number. This format was consistent with the State of North Carolina's Citizen Participation Plan. The NC Plan Partners posted the notice of the hearing, the draft AAP, and the Citizen Participation Plan on the NC Commerce website, posted notices on NC Commerce social media sites, and emailed the notices to stakeholders and other interested parties. Fourteen people participated, including NC Plan Partners staff. Documentation is attached including the 2024 AAP, and PowerPoint presentation.

Public Comments: The public comment period began on Tuesday April 2, 2024 and ended on Wednesday May 1, 2024. Valerie D. Moore Fegans of the NC Department of Commerce served as the public comment coordinator and received no comments on the 2024 AAP.

Attachments:

- NC Citizen Participation Plan can be found at www.commerce.nc.gov
- Notices of Public Hearing
- 2024 AAP PowerPoint presentation.



NOTICE OF VIRTUAL PUBLIC HEARING

AGENCIES: North Carolina Department of Commerce, Rural Economic Development Division
 North Carolina Housing Finance Agency
 North Carolina Department of Health and Human Services, Division of Aging and Adult Services
 North Carolina Department of Health and Human Services, HIV Care Program

ACTION: Notice is hereby given that the 2023 North Carolina Consolidated Annual Performance Evaluation Report (CAPER), the 2024 Annual Action Plan (AAP) Plan have been drafted by the North Carolina Department of Commerce, Rural Economic Development Division. The drafts may receive several updates prior to submission to the U. S. Department of Housing and Urban Development.

SUMMARY: The 2023 North Carolina Consolidated Annual Performance Evaluation Report (CAPER), the 2024 Annual Action Plan (AAP) summarizes the 2023 accomplishments and the 2024 AAP proposed actions respectively of the four Consolidated Plan partner agencies. Each of the documents include the following programs funded by the U.S. Department of Housing and Urban Development (HUD): Small Cities Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), Emergency Solutions Grants (ESG), Housing Opportunities for Persons with AIDS (HOPWA) programs.

AVAILABILITY OF REVIEW MATERIALS: A copy of the State's 2023 CAPER, the 2024 Annual Action Plan will be available for public review on April 2, 2024-May 1, 2024. All documents are available on the website of the North Carolina Department of Commerce (www.commerce.nc.gov) and the North Carolina Housing Finance Agency (www.nchfa.com). Copies are also available by request from the North Carolina Department of Commerce, Rural Economic Development Division. Contact John Brooks at (919) 814-4663 or john.brooks@commerce.nc.gov to receive a copy.

PUBLIC HEARING: An official virtual public hearing will be held on April 16, 2024, from 10:00 am to 1:00 p.m. for the 2023 CAPER and the 2024 Annual Action Plan. Please join the meeting from your computer, tablet, or smartphone at www.nc.gov/vm. Using video conferencing ID 238 063 523 241. You can also dial in using your phone at 1-867-201-1867, ext. 238 063 523 241. Persons with disabilities or who have special needs should contact John Brooks at john.brooks@commerce.nc.gov in advance of the hearing. Accommodation will be made for all who request assistance with participating in the virtual public hearing by 5:00 PM, Monday April 15, 2024. **COMMENT PERIOD:** Comments concerning the documents stated in this notice should be made during the required comment period. The comment period for all documents is from April 2, 2024 - May 1, 2024. Written comments will be accepted until 5:00 p.m. on the closing comment date and may be made via email to john.brooks@commerce.nc.gov or fax (919) 715 0567, or mailed to John Brooks, Attn: Public Comment, Rural Economic Development Division, 4346 Mail Service Center, Raleigh, NC 27699-4346. All mailed comments must be postmarked no later than May 1, 2024.

Si necesita esta información en español, por favor póngase en contacto con John Brooks 919-814-4663.



McClatchy

AFFIDAVIT OF PUBLICATION

Account #	Order Number	Identification	Order PO	Amount	Cols	Depth
3040	58633	Print Legal Ad-PLONG360-01/06/2024		\$1,027.86	2	56L

Attention: Linda Peedlin
 N.C. DEPARTMENT OF COMMERCE
 301 N. WASHINGTON STREET
 402 MAIL SERVICE CENTER
 RALEIGH, NC 27655-0346
 linda.peedlin@nccommerce.gov

NOTICE OF VIRTUAL PUBLIC HEARING

North Carolina Department of Commerce, Rural Economic Development Division
 North Carolina Housing Finance Agency
 North Carolina Department of Health and Human Services, Division of Aging and Adult Services
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Debbie G. Hester
 Notary Public
 My Notary ID # 133394638
 Expires July 14, 2028

STATE OF NORTH CAROLINA
 COUNTY OF WAKE, COUNTY OF DURHAM
 Before me, the undersigned a Notary Public of Wake County, Texas, duly commissioned and authorized to administer oaths of officers, etc., personally appeared "Tara Pennington, who being duly sworn, affirmed, according to law, both before and after the on the above and before me, Special of the News & Observer Publishing Company, a corporation organized and existing under the laws of the State of North Carolina, and publishing newspaper known as the News & Observer, Wake and State aforesaid, the said newspaper which has been in operation, publication, or circulation for a period of years, as the true and correct copy of every such publication, a newspaper meeting all of the requirements and qualifications of Section 1097 of the General Statutes of North Carolina and that such newspaper is published, and is printed with the words, type and business of said corporation and by reference to the files and publications the attached advertisement for NC DEPARTMENT OF COMMERCE was inserted in the aforesaid newspaper on dates as follows:

1 insertion published on 04/02/24

Tara Pennington

Notary Public in and for the State of Texas, residing in Dallas County

Stephanie Hatcher

Notary Public in and for the State of Texas, residing in Dallas County
 STEPHANIE HATCHER
 My Notary ID # 133394638
 Expires July 14, 2028

Extra charge for hot or cold state of digital legal document please call desktop!



PAID VIA
 Cash Credit Card
 Check # _____
Date Paid _____

NC DEPT OF COMMERCE
4302 MAIL SERVICE CENTER
RALEIGH NC 27689

Copy Line: NOTICE OF VIRTUAL PUBLIC HEARING
Size: 14.0
Total Price: \$374.00

Account: 116327 Ticket: 403294

PUBLISHER'S AFFIDAVIT

NORTH CAROLINA
PIH County

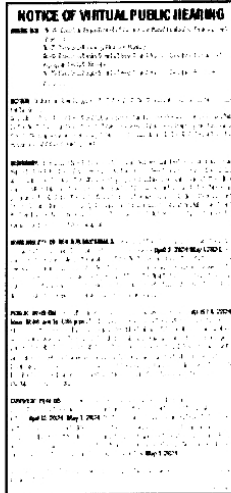
Dwight Wilson affirms that he/she is clerk of Daily Reflector, a newspaper published daily at Greensboro, North Carolina, and that the advertisement, a true copy of which is hereto attached, entitled NOTICE OF VIRTUAL PUBLIC HEARING was published in said Daily Reflector on the following dates:

Please see attached proof.

Tuesday, April 2, 2024

and that the said newspaper in which such notice, paper, document or legal advertisement was published, was at the time of each and every publication, a newspaper meeting all of the requirements and qualifications of Chapter 1, Section 597 of the General Statutes of North Carolina and was a qualified newspaper within the meaning of Chapter 1, Section 567 of the General Statutes of North Carolina.

Kimberly Coffey Bandy
I, *Kimberly Coffey Bandy*,
My commission expires 02-22-2026



EASTERN NORTH CAROLINA CLASSIFIEDS
 CALL MONDAY-FRIDAY 9AM-5PM 252-329-9505 EMAIL CLASSIFIEDS@APGENG.COM

NOTICE OF VIRTUAL PUBLIC HEARING
 The Board of Education of Wayne County, North Carolina, will hold a virtual public hearing on Monday, August 14, 2023, at 7:00 p.m. The hearing will be held via Zoom. The meeting will be open to the public and will be held in accordance with the provisions of the Open Meetings Act, Chapter 143, North Carolina General Statutes. The meeting will be held at the following address: <https://zoom.us/j/9876543210>. The meeting will be held in the virtual meeting room titled "Wayne County Board of Education Meeting". The meeting will be held in accordance with the provisions of the Open Meetings Act, Chapter 143, North Carolina General Statutes. The meeting will be held at the following address: <https://zoom.us/j/9876543210>. The meeting will be held in the virtual meeting room titled "Wayne County Board of Education Meeting".

LEGAL SERVICES
 Legal Services for various categories including Real Estate, Personal Injury, and Family Law.

REALESTATE
 Listings for various properties including homes, commercial buildings, and land.

PERSONAL INJURY
 Legal representation for car accidents, slip and fall, and other personal injury cases.

FAMILY LAW
 Legal services for divorce, child custody, and other family law matters.

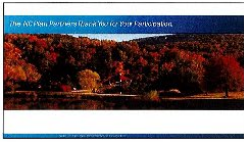
SELL YOUR HOME
 Real estate services for selling homes quickly and for less.

PROOF OF PUBLICATION
 I, the undersigned, being duly sworn, depose and say that the within and foregoing copy of the notice of public hearing was published in the Eastern North Carolina Classifieds on Monday, August 14, 2023, at 7:00 p.m. as required by law.

LOCAL 10
 WCTV-TV
 1000 W. Hargett Street
 Raleigh, NC 27601
 Phone: 919-856-1000
 Fax: 919-856-1001

WCTV-TV
 WCTV-TV
 State of Wisconsin

Page 1 of 1













Consolidated Planning Process

- The **Statewide** process is designed to provide a comprehensive and coordinated approach to planning across all levels of government.
- Statewide Planning** involves the development of a long-term vision and strategy for the entire state, including transportation, economic development, and environmental protection.
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- Consolidated Planning** involves the integration of state, regional, and local planning processes to ensure a coordinated and comprehensive approach to planning.
- Key Objectives:**
 - Improve transportation infrastructure and efficiency.
 - Enhance economic development and job creation.
 - Protect and enhance the environment.
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North Carolina Department of Transportation

100 South Salisbury Street, Raleigh, NC 27601
 919.737.3300
 www.ncdot.gov

NC Department of Transportation
 NC Department of Transportation

CEBG Goals and Objectives

The 2024 agenda for the state agencies is \$47 million in CEBG funding in 2024.

- **Economic Development** approximately \$15 million
- **Neighborhood Revitalization and Local Community Development** approximately \$15 million
- **Healthcare** approximately \$10 million
- **Education** approximately \$7 million

CEBG will also include a **Community Development** category which will be used for local health care clinics and social community centers across the NC.

The full distribution list can be found at [this link](#).

CEBG: 2024 Goals and Objectives (Continued)

In 2024, CEBG will include:

- **Economic Development** - The partnership of the 147 Economic Development Units will continue to support economic development projects across the state.
- **Healthcare** - CEBG will continue to support health care projects across the state.
- **Education** - CEBG will continue to support education projects across the state.
- **Neighborhood Revitalization and Local Community Development** - CEBG will continue to support neighborhood revitalization and local community development projects across the state.

CEBG Goals and Objectives

Healthcare Principles

CEBG, through the Neighborhood Revitalization Program, supports the **Healthcare Principles** that help to build community workforces that address the needs of the:

- **Provide equitable and affordable housing**
- **Support economic growth**
- **Value communities and neighborhoods**

CEBG: 2024 Annual Action Plan Goals and Objectives

In 2024, CEBG expects to receive \$47 million in funding and will:

- **Economic Development** approximately \$15 million
- **Neighborhood Revitalization and Local Community Development** approximately \$15 million
- **Healthcare** approximately \$10 million
- **Education** approximately \$7 million

Community projects will be selected based on the following criteria:

Neighborhood Revitalization Method of Distribution

In 2024, CEBG will use the following method of distribution:

- **Include the local community development organizations that will receive funding to help fund the local Revitalization Fund.**
- **Allocate the maximum funding of up to \$50,000 per project to help fund the local Revitalization Fund.**
- **Allocate the maximum funding of up to \$50,000 per project to help fund the local Revitalization Fund.**

Additional Requested Resources - State Economic Development Revolving Loan Fund (ELF)

The State Economic Development Revolving Loan Fund (ELF) is a critical resource for the state's economic development efforts. The ELF provides financing for a wide range of projects, including:

- **Business Startups and Expansion**
- **Job Training and Workforce Development**
- **Infrastructure and Transportation**
- **Community Development**

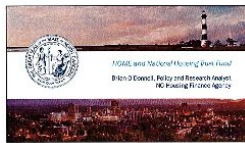
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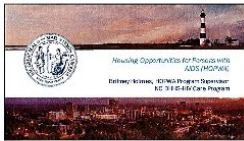


Horizontal lines for notes



Horizontal lines for notes

LINE	DESCRIPTION	AMOUNT	ACCOUNT	TYPE
10000	HOPE	1,000,000	10000	10000
10001	HOPE	1,000,000	10001	10001
10002	HOPE	1,000,000	10002	10002
10003	HOPE	1,000,000	10003	10003
10004	HOPE	1,000,000	10004	10004
10005	HOPE	1,000,000	10005	10005
10006	HOPE	1,000,000	10006	10006
10007	HOPE	1,000,000	10007	10007
10008	HOPE	1,000,000	10008	10008
10009	HOPE	1,000,000	10009	10009
10010	HOPE	1,000,000	10010	10010
10011	HOPE	1,000,000	10011	10011
10012	HOPE	1,000,000	10012	10012
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HOPE - NY Care Program - HOPE

HOPE is a program of the North Carolina Department of Health and Human Services, Division of Substance Abuse and Mental Health. It is a program that provides support and resources for individuals with substance use disorders and mental health conditions. The program is designed to help individuals recover from addiction and improve their quality of life. It includes a variety of services, such as counseling, medication management, and peer support. The program is available to individuals who are residents of North Carolina and are 18 years of age or older. For more information, please contact the HOPE program at 1-800-368-6868.

HOPE - NY Care Program - HOPE (Continued)

2024 Anticipated HOPE Allocation - \$3,675,166

HOPE is a program of the North Carolina Department of Health and Human Services, Division of Substance Abuse and Mental Health. It is a program that provides support and resources for individuals with substance use disorders and mental health conditions. The program is designed to help individuals recover from addiction and improve their quality of life. It includes a variety of services, such as counseling, medication management, and peer support. The program is available to individuals who are residents of North Carolina and are 18 years of age or older. For more information, please contact the HOPE program at 1-800-368-6868.

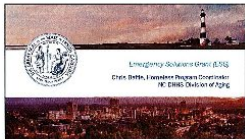
HOPE - NY Care Program - HOPE (Continued)

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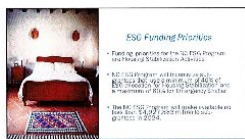












ESG English Grantees (Sub-recipient)

- All grant recipients are required to submit a report to the State Office by the end of the reporting period.
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NC DHS - Division of Aging & Emergency Solutions Grant (ESG)

Debra Davis, Housing Manager
 NC DHS - Division of Aging

Lisa Woods, ESG Homeless Program Coordinator
Chris Butler, ESG Homeless Program Coordinator
 NC DHS - Division of Aging & Emergency Solutions Grant (ESG)

Aless Pritchett, ESG Homeless Program Coordinator

Public Comments for the 2024 CAP

Whitley Taylor, COMB Director
 NC Commissioner of Budget & Finance

Public Comment Period

- All comments will be reviewed by COMB by May 15, 2024.
- The public comment period will be open from 12:00 PM to 5:00 PM on the following dates: May 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31.
- Comments should be submitted to the following email address: publiccomment@nc.gov.
- All comments should be submitted in English.
- All comments should be submitted in a clear and concise manner.
- All comments should be submitted in a professional and respectful tone.

Opening of Public Comment Period

The public hearing is now open for comments. Please contact COMB if you have any questions.

- Comments should be submitted to the following email address: publiccomment@nc.gov.
- All comments should be submitted in English.
- All comments should be submitted in a clear and concise manner.
- All comments should be submitted in a professional and respectful tone.

Closing of Public Comment Period

The 2024 Virtual Annual Action Plan Public Hearing comment period has concluded.

Public Comment Period Timelines

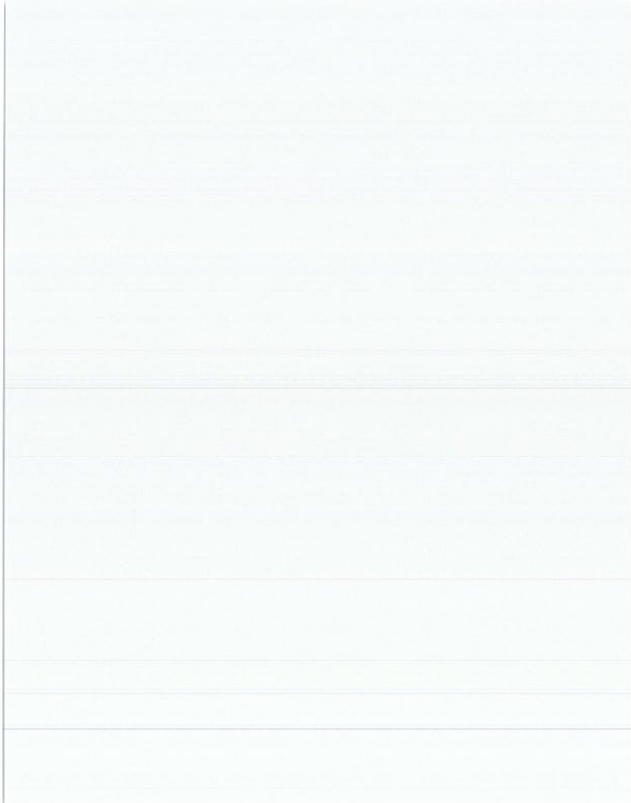
- All comments will be received until **May 1, 2024** at **4:00 pm**.
- Any comments received after this date and time will not be considered in preparing the final report.
- The public comment period will include periods that are staggered with 15-minute gaps.
- All comments that are public under G.S. 172 will be made accessible to interested parties and the public during comment periods.

Other Ways to Submit Comments

- Comments can be submitted via email.
- Phone: 919.855.4500 (ext. 4500) or 919.855.4501.
- Fax: 919.855.4507.

NC:
 North Carolina Department of Commerce
 State Capitol Building, Public Comment
 Postal/Physical Address: Raleigh, NC 27601
 300 South Salisbury Street
 Raleigh, NC 27601-1200

For More Information, Visit Our Web Page



Grantee Unique Appendices

Application for Federal Assistance SF-424	
<p>*1. Type of Submission:</p> <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	
<p>*2. Type of Application * If Revision, select appropriate letter(s):</p> <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation * Other (Specify) <input type="checkbox"/> Revision	
<p>*3. Date Received: 10/10/2024</p>	
<p>4. Applicant Identifier:</p>	
<p>5a. Federal Entity Identifier:</p>	
<p>*5b. Federal Award Identifier:</p>	
<p>State Use Only:</p>	
<p>5. Date Received by State: 10/10/2024</p>	
<p>7. State Application Identifier:</p>	
<p>8. APPLICANT INFORMATION:</p>	
<p>*a. Legal Name: North Carolina Department of Health and Human Services</p>	
<p>*b. Employer/Taxpayer Identification Number (EIN/TIN): 56-1636462</p>	
<p>*c. UEI: DKT3LLBW/FVL3</p>	
<p>d. Address:</p>	
<p>*Street 1: 2001 Mall Service Center</p>	
<p>Street 2: 101 Blair Drive</p>	
<p>*City: Raleigh</p>	
<p>County/Parish:</p>	
<p>*State: NC</p>	
<p>*Province:</p>	
<p>*Country: USA: United States</p>	
<p>*Zip / Postal Code: 27699-2000</p>	
<p>e. Organizational Unit:</p>	
<p>Department Name: North Carolina DHHS</p>	
<p>Division Name: Division of Aging</p>	
<p>f. Name and contact information of person to be contacted on matters involving this application:</p>	
<p>Prefix: Mrs. *First Name: Jill</p>	
<p>Middle Name:</p>	
<p>*Last Name: Simmerman</p>	
<p>Suffix:</p>	
<p>Title: Deputy Director</p>	
<p>Organizational Affiliation: NCDHHS - Division of Aging</p>	
<p>*Telephone Number: 919-631-7945 Fax Number:</p>	
<p>*Email: jill.simmerman@dhhs.nc.gov</p>	

Application for Federal Assistance SF-424
*9. Type of Applicant 1: Select Applicant Type: A. State Government Type of Applicant 2: Select Applicant Type: Pick an applicant type Type of Applicant 3: Select Applicant Type: Pick an applicant type *Other (Specify)
*10. Name of Federal Agency: Housing and Urban Development
11. Catalog of Federal Domestic Assistance Number: 14.231 CFDA Title: ESG-RUSH
*12. Funding Opportunity Number: 6224123 *Title: Rapid Unsheltered Survivor Housing
13. Competition Identification Number: Title:
14. Areas Affected by Project (Cities, Counties, States, etc.): The following disaster declared counties which are eligible for FEMA IA as of 10/18/2024: Alexander, Alleghany, Ashe, Avery, Buncombe, Burke, Cabarrus, Caldwell, Catawba, Cherokee, Clay, Cleveland, FCI, Forsyth, Gaston, Graham, Haywood, Henderson, Iredell, Jackson, Lee, Lincoln, Macon, Madison, McDowell, Mecklenburg, Mitchell, Nash, Polk, Rowan, Rutherford, Stanly, Surry, Swain, Transylvania, Union, Watauga, Wilkes, Yadkin, Yancey
*15. Descriptive Title of Applicant's Project The State administered HUD Emergency Solutions Grant Rapid Unsheltered Survivor Housing (ESG-RUSH) assists programs in the disaster declared counties by providing funds for emergency shelter, rehousing, homelessness prevention, outreach, HMIS and Administration.
Attach supporting documents as specified in agency instructions.

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
*a. Applicant: NC-004	*b. Program/Project:
Attach an additional list of Program/Project Congressional Districts if needed.	
17. Proposed Project:	
*a. Start Date: 09/27/2024	*b. End Date: 09/27/2026
18. Estimated Funding (\$):	
*a. Federal	\$ 3,000,000
*b. Applicant	\$ 0
*c. State	\$ 0
*d. Local	\$ 0
*e. Other	\$ 0
*f. Program Income	\$ 0
*g. TOTAL	\$ 3,000,000
*19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on _____. <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
*20. Is the Applicant Delinquent On Any Federal Debt?	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes", explain:	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: Mr.	*First Name: Kody
Middle Name:	
*Last Name: Kinsley	
Suffix:	
*Title: Secretary of Department of Health and Human Services	
*Telephone Number: 919-855-4800	Fax Number:
* Email: kody.kinsley@dohhs.nc.gov	
*Signature of Authorized Representative: <i>Kody Kinsley</i>	*Date Signed: 10/20/24 10:54 AM EDT

ASSURANCES - CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal interest in the title of real property in accordance with awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4783) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Standard Form 424D (Rev. 7-97)
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-648) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

Digitally signed by SIGNATURE OF AUTHORIZED, CERTIFYING OFFICIAL <i>Susan G Osborne for body R Kusley</i> 0314022F61A44FD...		TITLE Secretary	
APPLICANT ORGANIZATION NC Dept of Health and Human Services		DATE SUBMITTED October 20, 2024	

SF-4240 (Rev. 7-97) Back



MUNICIPAL DEPUTY ASSISTANT SECRETARY
FOR COMMUNITY PLANNING AND DEVELOPMENT

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
WASHINGTON, DC 20410-7000

The Honorable Roy Cooper
North Carolina Office of the Governor
20301 Mail Service Center
Raleigh, NC 27699-0301

Dear Governor Cooper:

I offer my best wishes for all North Carolinians impacted by Tropical Storm Helene. This letter conveys the award of \$3 million to address the needs of people who are were unhoused or at risk of homelessness before the storm.

As authorized by 42 U.S.C. 11364a(c)(1), the Department of Housing and Urban Development's (HUD) Office of Special Needs Assistance Programs (SNAPS) is making a special allocation of Emergency Solutions Grants (ESG) funding to the State of North Carolina (Recipient) to address the needs of homeless individuals or families at risk of homelessness in areas affected by a major disaster declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.) on or after December 20, 2019, whose needs are not otherwise served or fully met by existing Federal disaster relief programs, including the Transitional Sheltering Assistance (TSA) program under such Act (42 U.S.C. 5170b). This allocation of "Rapid Unsheltered Survivor Housing" (RUSH) funding under the ESG Program is in response to the President's major disaster declaration dated September 28, 2024, DR-4827-NC, for Tropical Storm Helene and Federal Emergency Management Agency's (FEMA) determination that this disaster was severe enough to activate its TSA program.

Specifically, HUD is making \$3,000,000 in RUSH funding available to the State of North Carolina. This initial allocation was determined using a formula that is based on 42 U.S.C. 11364a(c)(1) and that takes into account the number of persons experiencing sheltered or unsheltered homelessness (based on the most recent Point-in-Time count) in counties or local municipalities that are within the declared disaster areas and the Fair Market Rent for a 1-bedroom apartment in those areas. "Declared disaster areas" refers to areas identified as eligible for FEMA Individual Assistance when a major disaster is declared. Subject to availability of funding, the Department may make a second allocation of RUSH funding as explained in the notice HUD published in the July 18, 2024 Federal Register ([RUSH Notice](#)).

This RUSH funding will be subject to the same Federal requirements that apply to annual ESG funding, except as otherwise stated in the RUSH Notice or a later HUD notice, waiver, or rule. Notably, in accordance with 24 CFR 5.110 and as provided in the Department's letter notifying Recipient of its first RUSH allocation, the same waivers HUD made available in the July 1, 2024 memorandum "[Availability of Waivers of Community Planning and Development Grant Program and Consolidated Plan Requirements to Facilitate Recovery from Presidentially Declared Major Disasters](#)", are made available for the same justifications and subject to the same conditions with respect to the RUSH funding allocated to the Recipient.

www.hud.gov

espsn@hud.gov

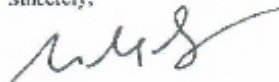
Please refer to section IV of the RUSH Notice for the submission requirements for RUSH funding. The certifications that must be included in each submission for RUSH funding are provided in the attachments to this letter. These certifications exclude the standard ESG certification about matching contributions, because matching contributions are not required for RUSH funding, as explained in the RUSH Notice.

Please note that section V of the RUSH Notice authorizes pre-award costs and describes the conditions for utilizing pre-award costs.

If the State of North Carolina decides not to accept this funding, please email your clearly stated refusal to Norm Suchar (norman.a.suchar@hud.gov), Director of the Office of Special Needs Assistance Programs, within 14 days of receiving this letter.

The Department's Office of Community Planning and Development (CPD) is committed to continuing to work with your jurisdiction to successfully meet the urgent and complex challenges facing your community. HUD's local CPD Field Office will reach out shortly to begin the process of executing your RUSH grant, and HUD has technical assistance available to assist with implementation of the RUSH program. If you or your staff have questions or if you are interested in receiving technical assistance, please contact your local CPD Field Office Director.

Sincerely,



Marion M. McFadden
Principal Deputy Assistant Secretary
of Community Planning and Development

Attachments

ATTACHMENT 1

General Certifications

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the State certifies that:

Affirmatively Further Fair Housing -- The State will affirmatively further fair housing.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

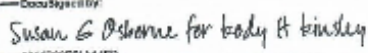
Anti-Lobbying -To the best of the State's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraphs 1 and 2 of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of State - The submission of the consolidated plan is authorized under State law and the State possesses the legal authority to carry out the programs under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan - The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan in the State's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.

DocuSign by:

 0014000031AMFD
 Signature of Authorized Official

10/20/24 | 10:54 AM EDT

Date

Secretary

Title

ATTACHMENT 2

ESG Certifications

Discharge Policy – The State will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Confidentiality – The State will develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

The State will ensure that its subrecipients comply with the following:

- **Major rehabilitation/conversion** – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.
- **Essential Services and Operating Costs** – If ESG funds are used for shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, veterans, disabled individuals, or victims of domestic violence) or persons in the same geographic area.
- **Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.
- **Supportive Services** – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for these individuals.

- **Homeless Persons Involvement** – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.
- **Consolidated Plan** – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction's consolidated plan.

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Susan G Osborne for body H Kinley 10/20/24 | 10:54 AM EDT
0214100551AM/EC
Signature of Authorized Official Date
Secretary
Title

Internal HUD Distribution:						
Identification Lines: RUSH NC Award Letter						
Correspondence Code	Originator DNSS	Concurrence DNS	Concurrence DN	Concurrence	Concurrence	Concurrence
Name	Daniel Oates	Nema Suchar	Jessica A. Bryon			
Date	DO 10.7.24	NAS 10/7/2024	JAB 10.7.24			

STATE OF NORTH CAROLINA
DEPARTMENT OF HEALTH AND HUMAN SERVICES

ROY COOPER
GOVERNOR

KODY H. KINSLEY
SECRETARY

SECRETARIAL DIRECTIVE 003

DATE: 09/26/22 | 5:02 PM EDT

SUBJECT: Delegation of Authority Concerning Grants, Budget and Financial Matters

1. **SCOPE.** This Directive applies to all divisions, offices, facilities, and units within the North Carolina Department of the Health and Human Services. The provisions of this Directive shall not be construed to interfere with or impede the authorities or duties prescribed by law to specific divisions, offices, facilities or units, or individuals within those units.
2. **SIGNATURE AUTHORITY.** The provisions of Secretarial Directive 001 are incorporated herein. In addition, the following directives shall govern the authority of officers and employees of the Department in seeking grant funding from public and private entities that binds the Department or any division, office, facility or unit, or individual within those units.
 - a. **Department Grant Funding.** For any grant application or proposal for funding, including competitive and non-competitive grant applications, formula and other types of mandatory grants and block grants in which the Department is the named party (as opposed to a specific Division, Office, or Facility), the appropriate Deputy Secretary, including the Chief Deputy Secretary, or their designee shall have the authority to review, approve, and sign all grant applications or proposals for funding. Regardless of the final signatory, in order to ensure strategic use of grant funds, the approval process for grant applications and other associated documents should include leaders from divisions and offices across the Department with a stake in that work. As a part of this directive, the Deputy Secretary shall further ensure that a documented delegation of authority is in place to an authorized organizational representative(s) for the purpose of submitting grant applications and proposals once the grant application or proposals has been approved.
 - i. **State plans and state plan amendments and reporting for grant funding.** The appropriate Deputy Secretary or their designee shall have the authority to review, approve, and sign all state plans, state plan amendments and required reporting.
 - ii. **Grant continuation, application renewals and federal cooperative agreements.** The appropriate Deputy Secretary or their designee shall have the authority to review, approve, and sign all grant continuation, renewals, and federal cooperative agreements.
 - iii. **Block Grants.** The appropriate Deputy Secretary, or their designee, shall have the authority to review, approve block grant plans for each block grant under their purview, including any reporting.

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TEL 919-855-4800 • FAX 919-715-4645
LOCATION: 101 BLAIR DRIVE • ADAMS BUILDING • RALEIGH, NC 27603
MAILING ADDRESS: 2001 MAIL SERVICE CENTER • RALEIGH, NC 27699-2000
AN EQUAL OPPORTUNITY / AFFIRMATIVE ACTION EMPLOYER

- iv. A Deputy Secretary shall not delegate any actions under (a)(i)-(iii) involving (1) commitment for a new program or service not authorized under the Department's purview or an explicit act of the General Assembly, and/or (2) continuing financial commitment obligating the Department as a consequence of accepting the grant funds beyond the period of the grant or action.
 - v. The Chief Deputy Secretary may sign or, notwithstanding paragraphs (a)(i)-(iii), may specifically designate a Deputy Secretary to sign the subject grant, proposal, agreement or other document. Any grant, proposal, agreement or other document defined above, may also be signed by the Chief Deputy Secretary.
 - vi. Any delegation by a Deputy Secretary for carrying out the above obligations shall be documented in writing and submitted to the General Counsel.
- b. **Budget Formulation.** The appropriate Deputy Secretary shall review primary budget documents for the biennial planning period and recommended adjustments to that budget reflecting change budgets including expansion, block grant plans and supplemental budgets, proposed and submitted by the departmental divisions, facilities and offices, etc. These budget documents may be submitted through the division or office director and/or assistant secretaries, as applicable. After review, the Deputy Secretaries shall make appropriate recommendations to the Chief Deputy Secretary and Secretary regarding modification and approval and submission to the Office of State Budget and Management.
- c. **Budget Execution and Control of Funds.** The appropriate Deputy Secretary, including the Chief Deputy Secretary, shall delegate in writing to appropriate Assistant Secretaries, Senior Directors and/or Division, Office or Facility directors the authority to:
- i. incur obligations and make expenditures within the budgetary resources appropriated, certified and/or authorized to the division, office or facility consistent with applicable State and federal authority;
 - ii. request allotment of funds in accordance with State policy;
 - iii. salary control; and
 - iv. operationalize, adjust and/or realign requirements and revenues in the division, office or facility's base or authorized budget to maintain appropriate operational levels.
- d. The Deputy Secretaries shall have oversight and delegate responsibility to the Division/Office/Facility Director to maintain a system of administrative control of funds including cash management as authorized and in accordance with State accounting and financial policies and procedures of the Division of Budget and Analysis, Office of State Budget and Management, the Office of the DHHS Controller, the Office of State Controller and state statute.
- e. The Deputy Secretaries shall have authority and be responsible for the preparation, review, and the execution of responses to correspondence or inquiries regarding issues of direct relevance to departmental budgetary matters, programmatic issues of direct relevance to the Fiscal Research Division and other relevant legislative divisions of the North Carolina General Assembly, issues of direct relevance to the North Carolina Office of the Governor, Office of State Budget and Management, Office of the State Controller and Department of Administration.

1. **AUTHORITIES.**

- a. N.C. Gen. Stat. § 143B-10
- b. N.C. Gen. Stat. § 143B, Article 3
- c. N.C. Gen. Stat. § 143C

2. **OFFICE OF PRIMARY INTEREST.** The Office of the General Counsel; Division of Budget and Analysis; Office of the Controller
3. **SECRETARY AUTHORITY.** This Directive and any delegation of authority herein shall not deprive the Secretary from performing, in lieu of the Chief Deputy Secretary, Deputy Secretary or any other named official, any of the acts set forth above. This delegation of authority may be amended or withdrawn by the Secretary at any time and without notice. This delegation of authority shall not apply to any actions which by law, regulation or Executive Order, may only be executed by the Secretary.
4. **PRIOR DIRECTIVES AND DELEGATIONS.** This Directive and any delegation of authority herein shall supersede any previously issued directive or delegation, whether by the below signed Secretary or any previous Secretary, that conflicts with the terms set forth above.

DocuSigned by:

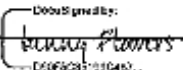
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Kody H. Kinsley
Secretary

Grantee SF-424's and Certification(s)

Application for Federal Assistance SF-424		
*1. Type of Submission:		*2. Type of Application * If Revision, select appropriate letter(s):
<input type="checkbox"/> Preapplication		<input checked="" type="checkbox"/> New
<input checked="" type="checkbox"/> Application		<input type="checkbox"/> Continuation * Other (Specify)
<input type="checkbox"/> Changed/Corrected Application		<input type="checkbox"/> Revision
*3. Date Received:		4. Applicant Identifier:
5a. Federal Entity Identifier:		*5b. Federal Award Identifier: B23DC37001
State Use Only:		
6. Date Received by State:		7. State Application Identifier:
8. APPLICANT INFORMATION:		
*a. Legal Name: North Carolina Department of Commerce		
*b. Employer/Taxpayer Identification Number (EIN/TIN): 56-1611847		*c. UEI: KF32K54P7R73
d. Address:		
*Street 1:	301 N. Wilmington Street	
Street 2:	4346 Mail Service Center	
*City:	Raleigh	
County/Parish:	Wake	
*State:	NC	
*Province:		
*Country:	United States	
*Zip / Postal Code:	27699-4346	
e. Organizational Unit:		
Department Name: NC Department of Commerce		Division Name: Rural Economic Development
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix:	Mrs.	*First Name: Valerie
Middle Name:		
*Last Name:	Fegans	
Suffix:		
Title:	CDBG Program Director	
Organizational Affiliation: State Government		
*Telephone Number: (919)814-4673		Fax Number: (919) 715-0567
*Email: valerie.fegans@commerce.nc.gov		

Application for Federal Assistance SF-424
<p>*9. Type of Applicant 1: Select Applicant Type: A. State Government <input type="checkbox"/></p> <p>Type of Applicant 2: Select Applicant Type: Pick an applicant type</p> <p>Type of Applicant 3: Select Applicant Type: Pick an applicant type</p> <p>*Other (Specify):</p>
<p>*10. Name of Federal Agency: US Department of HUD</p>
<p>11. Catalog of Federal Domestic Assistance Number: 14-228</p> <p>CFDA Title: CDBG Small Cities Program</p>
<p>*12. Funding Opportunity Number: _____</p> <p>Title: _____</p>
<p>13. Competition Identification Number: _____</p> <p>Title: _____</p>
<p>14. Areas Affected by Project (Cities, Counties, States, etc.):</p>
<p>*15. Descriptive Title of Applicant's Project: The State of North Carolina will use Community Development Block Grant (CDBG) funds to address CDBG eligible activities including housing, public infrastructure, and economic development.</p>
<p>Attach supporting documents as specified in agency instructions.</p>

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
*a. Applicant: NC-04	*b. Program/Project: ALL
Attach an additional list of Program/Project Congressional Districts if needed.	
17. Proposed Project:	
*a. Start Date: 10/01/2024	*b. End Date: 09/30/2025
18. Estimated Funding (\$):	
*a. Federal	\$ 46,272,979
*b. Applicant	
*c. State	\$ 0
*d. Local	\$ 0
*e. Other	\$ 0
*f. Program Income	\$ 4,733,334
*g. TOTAL	\$ 51,006,313
*19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on _____ <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
*20. Is the Applicant Delinquent On Any Federal Debt?	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes", explain:	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001) <input checked="" type="checkbox"/> ** I AGREE ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: Mr. <input checked="" type="checkbox"/>	*First Name: Kenny
Middle Name:	
*Last Name: Flowers	
Suffix:	
*Title: Assistant Secretary, REDD	
*Telephone Number: (919) 814-4669	Fax Number: (919) 715-0507
* Email: kenny.flowers@commerce.nc.gov	
*Signature of Authorized Representative:	*Date Signed: 21-Jun-2024
	

ASSURANCES - CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

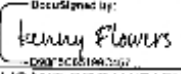
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal interest in the title of real property in accordance with awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 600, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§1801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 19 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11736; (c) protection of wetlands pursuant to EO 11890; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 105 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.)
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL		TITLE	
Designated by:  Terri Flowers (919) 552-1993		Assistant Secretary, REDD	
APPLICANT ORGANIZATION		DATE SUBMITTED	
North Carolina Department Of Commerce		June 25, 2024	

SF-424D (Rev. 7-97) Back



**NC DEPARTMENT
of COMMERCE**
RURAL ECONOMIC
DEVELOPMENT

Roy Cooper
GOVERNOR

Machelle Sanders
SECRETARY

Kenny Flowers
ASSISTANT SECRETARY

To: Kenny Flowers
Assistant Secretary, Rural Economic Development Division

From: Machelle Baker Sanders
Secretary, N.C. Department of Commerce

RE: Delegation of Signatory Authority (IIUD)

I hereby delegate to you signatory authority over all contracts, agreements, internal approvals, and other documents that I have the authority to sign by virtue of my position as Secretary of Commerce between the N. C. Department of Commerce and the U.S. Department of Housing and Urban Development. This delegation shall be effective until my departure, your departure, or such time as I revoke the authority in writing.

Signature: *Machelle Baker Sanders*
Machelle Baker Sanders
Secretary, N.C. Department of Commerce

Date: June 11, 2024

North Carolina Department of Commerce | Rural Economic Development Division
301 North Wilmington Street | 4346 Ruffin Service Center | Raleigh, NC 27699-6316
919 834 4800 T

STATE CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the State certifies that:

Affirmatively Further Fair Housing -- The State will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying --To the best of the State's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraphs 1 and 2 of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of State -- The submission of the consolidated plan is authorized under State law and the State possesses the legal authority to carry out the programs under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the State's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.

DocuSigned by:
Benny Flowers
Signature of Authorized Official

DocuSigned by:
Benny Flowers
Date

Assistant Secretary

Title

Specific Community Development Block Grant Certifications

The State certifies that:

Citizen Participation -- It is following a detailed citizen participation plan that satisfies the requirements of 24 CFR §91.115 and each unit of general local government that receives assistance from the State is following a detailed citizen participation plan that satisfies the requirements of 24 CFR §570.486.

Consultation with Local Governments --

1. It has consulted with affected units of local government in the nonentitlement area of the State in determining the method of distribution of funding;
2. It engages in or will engage in planning for community development activities;
3. It provides or will provide technical assistance to units of local government in connection with community development programs; and
4. It will not refuse to distribute funds to any unit of general local government on the basis of the particular eligible activity selected by the unit of general local government to meet its community development needs, except that a State is not prevented from establishing priorities in distributing funding on the basis of the activities selected.

Local Needs Identification -- It will require each unit of general local government to be funded to identify its community development and housing needs, including the needs of low-income and moderate-income families, and the activities to be undertaken to meet these needs.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available.
2. **Overall Benefit.** In the aggregate, not less than 70 percent of the CDBG funds, including Section 108 guaranteed loans, received by the State during the following fiscal year(s) FY 2024 [a period designated by the State of one, two, or three specific consecutive fiscal year(s)] will be used for activities that benefit persons of low and moderate income.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG Funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

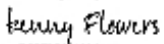
In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force – It will require units of general local government that receive CDBG funds to certify that they have adopted and are enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws – The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Compliance with Laws – It will comply with applicable laws.

DocuSigned by:

 Signature of Authorized Official

21-Jun-2024

 Date

Assistant Secretary

 Title

APPENDIX TO CERTIFICATIONS

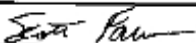
INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Application for Federal Assistance SF-424	
*1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	
*2. Type of Application * If Revision, select appropriate letter(s): <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation * Other (Specify) <input type="checkbox"/> Revision	
*3. Date Received: _____ 4. Applicant Identifier: M24SG37010	
5a. Federal Entity Identifier: M24-SG370100	
*5b. Federal Award Identifier: M24SG37010	
State Use Only:	
6. Date Received by State: _____ 7. State Application Identifier: _____	
8. APPLICANT INFORMATION:	
*a. Legal Name: North Carolina Housing Finance Agency (State of NC)	
*b. Employer/Taxpayer Identification Number (EIN/TIN): 56-1700358	
*c. UEI: WF3FKR4875L4	
d. Address:	
*Street 1: 3508 Bush Street Street 2: _____ *City: Raleigh County/Parish: _____ *State: NC *Province: _____ *Country: USA: United States *Zip / Postal Code: 27608-7509	
e. Organizational Unit:	
Department Name: NC Housing Finance Agency	
Division Name:	
f. Name and contact information of person to be contacted on matters involving this application:	
Prefix: _____ *First Name: Bettie Middle Name: _____ *Last Name: Teasley Suffix: _____	
Title: Manager of Policy and Research	
Organizational Affiliation: State of NC (NCHFA)	
*Telephone Number: 919-981-2679 Fax Number: (919) 877-5701	
*Email: bsloasley@nchfa.com	

Application for Federal Assistance SF-424
<p>*9. Type of Applicant 1: Select Applicant Type: A. State Government</p> <p>Type of Applicant 2: Select Applicant Type. Pick an applicant type</p> <p>Type of Applicant 3: Select Applicant Type: Pick an applicant type</p> <p>*Other (Specify)</p>
<p>*10. Name of Federal Agency: U.S. Department of Housing and Urban Development</p>
<p>11. Catalog of Federal Domestic Assistance Number: 14-239</p> <p>CFDA Title: _____</p>
<p>*12. Funding Opportunity Number: _____</p> <p>*Title: _____</p>
<p>13. Competition Identification Number: _____</p> <p>Title: _____</p>
<p>14. Areas Affected by Project (Cities, Counties, States, etc.): </p>
<p>*15. Descriptive Title of Applicant's Project: State administered HOME Program.</p>
<p>Attach supporting documents as specified in agency instructions.</p>

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
*a. Applicant: NC-002	*b. Program/Project: A11
Attach an additional list of Program/Project Congressional Districts if needed.	
17. Proposed Project:	
*a. Start Date: 01/01/2024	*b. End Date: 12/31/2024
18. Estimated Funding (\$):	
*a. Federal	\$ 15,722,330
*b. Applicant	\$ 0
*c. State	\$ 0
*d. Local	\$ 0
*e. Other	\$ 0
*f. Program Maximum	\$ 13,200,000
*g. TOTAL	\$ 28,922,330
*19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on _____	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
*20. Is the Applicant Delinquent On Any Federal Debt?	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", explain:	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: _____	*First Name: Scott _____
Middle Name: _____	
*Last Name: Farmer _____	
Suffix: _____	
*Title: Executive Director	
*Telephone Number: 919-877-5641	Fax Number: _____
*Email: bsfarmer@ncdfa.com	
*Signature of Authorized Representative: 	*Date Signed: 06/07/2024

Specific HOME Certifications

The State certifies that:

Tenant Based Rental Assistance -- If it plans to use HOME funds for tenant-based rental assistance, tenant-based rental assistance is an essential element of the State's consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §92.205 through §92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy Layering -- Before committing any funds to a project, the State or its recipients will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.



Signature of Authorized Official

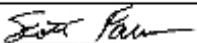
06/07/2024
Date

Executive Director

Title

Application for Federal Assistance SF-424	
*1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	
*2. Type of Application * If Revision, select appropriate letter(s): <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation * Other (Specify) <input type="checkbox"/> Revision	
*3. Date Received: _____ 4. Applicant Identifier: F24SG37010	
5a. Federal Entity Identifier: F-24-SG-37-0100	*5b. Federal Award Identifier: F24SG37010
State Use Only:	
6. Date Received by State: _____	7. State Application Identifier: _____
8. APPLICANT INFORMATION:	
*a. Legal Name: North Carolina Housing Finance Agency (State of NC)	
*b. Employer/Taxpayer Identification Number (EIN/TIN): 58-1700356	*c. UEI: WF3FKR4875L4
d. Address:	
*Street 1: 3508 Bush Street Street 2: _____ *City: Raleigh County/Parish: _____ *State: NC *Province: _____ *Country: USA: United States *Zip / Postal Code: 27609-7509	
e. Organizational Unit:	
Department Name: NC Housing Finance Agency	Division Name:
f. Name and contact information of person to be contacted on matters involving this application:	
Prefix: _____ *First Name: Bettie Middle Name: _____ *Last Name: Teasley Suffix: _____ Title: Manager of Policy and Research Organizational Affiliation: State of NC (NCHFA) *Telephone Number: 919-981-2679 Fax Number: (919) 877-5701 *Email: bstasley@nchfa.com	

Application for Federal Assistance SF-424
<p>*9. Type of Applicant 1: Select Applicant Type: A. State Government</p> <p>Type of Applicant 2: Select Applicant Type: Pick an applicant type</p> <p>Type of Applicant 3: Select Applicant Type: Pick an applicant type</p> <p>*Other (Specify):</p>
<p>*10. Name of Federal Agency: U.S. Department of Housing and Urban Development</p>
<p>11. Catalog of Federal Domestic Assistance Number: 14-275</p> <p>CFDA Title: _____</p>
<p>*12. Funding Opportunity Number: _____</p> <p>*Title: _____</p>
<p>13. Competition Identification Number: _____</p> <p>Title: _____</p>
<p>14. Areas Affected by Project (Cities, Counties, States, etc.):</p>
<p>*15. Descriptive Title of Applicant's Project: The HTF funding will be used to increase the number of rental units developed or rehabilitated that are affordable to households earning 30% or less of the AMI or below poverty level.</p>
<p>Attach supporting documents as specified in agency instructions.</p>

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
*a. Applicant: NC-002	*b. Program/Project: A11
Attach an additional list of Program/Project Congressional Districts if needed.	
17. Proposed Project:	
*a. Start Date: 01/01/2024	*b. End Date: 12/31/2024
18. Estimated Funding (\$):	
*a. Federal	\$ 4,415,315
*b. Applicant	\$ 0
*c. State	\$ 0
*d. Local	\$ 0
*e. Other	\$ 0
*f. Program Income	
*g. TOTAL	\$ 4,415,315
*19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on _____	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
*20. Is the Applicant Delinquent On Any Federal Debt?	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", explain:	
<p>21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)</p> <input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: _____	*First Name: Scott
Middle Name: _____	
*Last Name: Farmer	
Suffix: _____	
*Title: Executive Director	
*Telephone Number: 919-877-5641	Fax Number: _____
* Email: bsfarmer@nchfa.com	
*Signature of Authorized Representative: 	*Date Signed: 06/07/2024

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4540-0008
Expiration Date: 02/28/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

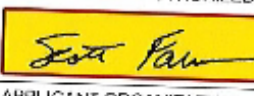
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4763) relating to prescribed standards of merit systems for programs funded under one of the 18 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686) which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-256), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

Previous Edition Useful

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Standard Form 424B (Rev. 7-97)
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. § 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11989; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL		TITLE	
		Executive Director	
APPLICANT ORGANIZATION		DATE SUBMITTED	
North Carolina Housing Finance Agency (State of NC)		05/07/2024	

SF-424D (Rev. 7-97) Back

Application for Federal Assistance SF-424	
*1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	
*2. Type of Application * If Revision, select appropriate letter(s): <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation * Other (Specify): <input type="checkbox"/> Revision	
*3. Date Received:	
4. Applicant Identifier:	
5a. Federal Entity Identifier:	
*5b. Federal Award Identifier: 14.231	
State Use Only:	
6. Date Received by State:	
7. State Application Identifier:	
8. APPLICANT INFORMATION:	
*a. Legal Name: North Carolina Department of Health and Human Services	
*b. Employer/Taxpayer Identification Number (EIN/TIN): 56-1636462	
*c. UEI: DKT3LLBWFVL3	
d. Address:	
*Street 1:	2001 Mail Service Center
Street 2:	101 Blair Drive
*City:	Raleigh
County/Parish:	Wake
*State:	NC
*Province:	
*Country:	USA; United States
*Zip / Postal Code	27699-2000
e. Organizational Unit:	
Department Name: Department of Health and Human Services	Division Name: Division of Aging
f. Name and contact information of person to be contacted on matters involving this application:	
Prefix: Mrs.	*First Name: Lisa
Middle Name:	
*Last Name: Worth	
Suffix:	
Title: Homeless Program Coordinator	
Organizational Affiliation:	
*Telephone Number: 919-805-0085	Fax Number:
*Email: lisa.worth@dhhs.nc.gov	

Application for Federal Assistance SF-424
*9. Type of Applicant 1: Select Applicant Type: A. State Government Type of Applicant 2: Select Applicant Type: Pick an applicant type Type of Applicant 3: Select Applicant Type: Pick an applicant type *Other (Specify)
*10. Name of Federal Agency: US Department of Housing and Urban Development
11. Catalog of Federal Domestic Assistance Number: 20.106 CFDA Title: _____
*12. Funding Opportunity Number: _____ *Title: Emergency Solutions Grant Program
13. Competition Identification Number: _____ *Title: _____
14. Areas Affected by Project (Cities, Counties, States, etc.): State of North Carolina
*15. Descriptive Title of Applicant's Project: The State administered HUD Emergency Solutions Grant (ESG) assists programs throughout North Carolina providing funds for emergency shelter, rehousing, homelessness prevention, outreach HMIS and Administration.
Attach supporting documents as specified in agency instructions.

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
*a. Applicant: NC-004	*b. Program/Project: All
Attach an additional list of Program/Project Congressional Districts if needed.	
17. Proposed Project:	
*a. Start Date: 01/01/2025	*b. End Date: 12/31/2025
18. Estimated Funding (\$):	
*a. Federal	\$ 5,160,126
*a. Applicant	\$ 0
*c. State	\$ 0
*d. Local	\$ 0
*e. Other	\$ 0
*f. Program Income	\$ 0
*g. TOTAL	\$ 5,160,126
*19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on _____. <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
*20. Is the Applicant Delinquent On Any Federal Debt?	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes", explain:	
21. "By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001) <input checked="" type="checkbox"/> ** I AGREE ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: Mr.	*First Name: Kody
Middle Name: H	
*Last Name: Kinsley	
Suffix:	
*Title: Secretary	
*Telephone Number: 919-855-4800	Fax Number:
*Email: kody.kinsley@dhs.nc.gov	DocuSigned by: <i>Susan Osborne for kody H kinsley</i> <small>16881842541F</small>
*Signature of Authorized Representative:	*Date Signed: 06/12/24 11:30 AM ET

ASSURANCES - CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal interest in the title of real property in accordance with awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4753) relating to prescribed standards for merit systems for programs funded under one of the 18 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
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Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
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19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

Digitally signed by: SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL <i>Susan Osborne for body of funding</i> 4549254FA28041F...		TITLE	
APPLICANT ORGANIZATION		DATE SUBMITTED 06/17/24 11:39 AM EDT February 8, 2018	

SF-424D (Rev. 7-97) Back



NC DEPARTMENT OF HEALTH AND HUMAN SERVICES

ROY COOPER • Governor
KODY H. KINSLEY • Secretary

June 07, 2024

SIGNATURE REQUEST MEMORANDUM

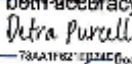
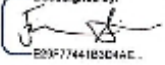
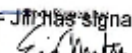
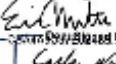
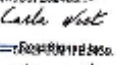
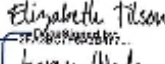
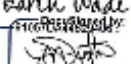
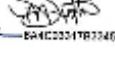
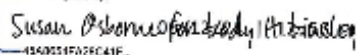
TO: Kody H. Kinsley, Secretary
THROUGH: Susan Osborne, Assistant Secretary for Human Services
FROM: Jill Simmerman, Assistant Director, DAAS
RE: HUD Emergency Solutions Grant (ESG) 2024 Certifications and SF424 Approval

Purpose

Attached for your review and signature is the HUD Emergency Solutions Grant (ESG) 2024 Grant SF424D and required State Certifications. HUD requires Secretary Kinsley signature on all Grant documents.

Clearance:

This request is for review and approval for the HUD Emergency Solutions Grant 2024, SF424 and Certifications has been reviewed for both accuracy and completeness by:

- Dotra Purcell, Housing Manager  06/12/24 | 10:13 AM EDT
- Jill Simmerman, Assistant Director  06/12/24 | 10:07 AM EDT
- Joyce Massey-Smith, Director (N/A)  (Jill has signature authority)
- Erin Matteson, Chief Financial Officer  06/12/24 | 11:15 AM EDT
- Carla West, Director for Human Services  06/12/24 | 11:22 AM EDT
- Betsey Tilson, MD, State Health Director  06/12/24 | 11:44 AM EDT
- Karen Wade, Assistant Secretary for Policy  06/12/24 | 11:57 AM EDT
- Melissa D. Dunston, MBA Grants Coordinator  06/12/24 | 11:59 AM EDT
- Susan Osborne, Deputy Secretary for Opportunity and Well-Being  06/12/24 | 11:59 AM EDT

NC DEPARTMENT OF HEALTH AND HUMAN SERVICES • OFFICE OF THE SECRETARY

LOCATION: 101 Blair Drive, Adams Building, Raleigh, NC 27603
MAILING ADDRESS: 2001 Mail Service Center, Raleigh, NC 27699-2000
www.ncdhhs.gov • TEL: 919-855-4800 • FAX: 919-715-4845

EQUAL OPPORTUNITY / AFFIRMATIVE ACTION EMPLOYER

Emergency Solutions Grant Certifications

Each State that seeks funding under the Emergency Solutions Grants Program must provide the following certifications:

Matching Funds – The State will obtain any matching amounts required under 24 CFR 576.201 in a manner so that its subrecipients that are least capable of providing matching amounts receive the benefit of the exception under 24 CFR 576.201(a)(2).

Discharge Policy – The State will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Confidentiality – The State will develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

The State will ensure that its subrecipients comply with the following criteria:

Major rehabilitation/conversion/renovation – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the building will be maintained as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the building will be maintained as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the building will be maintained as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – If ESG funds are used for shelter operations or essential services related to street outreach or emergency shelter, the subrecipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the applicant serves the same type of persons (e.g., families with children, unaccompanied youth, veterans, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The subrecipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for such individuals.

Homeless Persons Involvement – To the maximum extent practicable, the subrecipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under ESG, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted ESG.

Consolidated Plan – All activities the subrecipient undertakes with assistance under ESG are consistent with the State's current HUD-approved consolidated plan.

Digitally signed by:
Susan Osborne for Tody H Kinsley 06/12/24 | 11:39 AM EDT
Signature of Authorized Official Date

Title

Application for Federal Assistance SF-424	
<p>*1. Type of Submission:</p> <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	
<p>*2. Type of Application * If Revision, select appropriate letter(s):</p> <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision	
<p>*3. Date Received: _____ 4. Applicant Identifier: _____</p>	
<p>5a. Federal Entity Identifier: _____ 5b. Federal Award Identifier: NCH24-F999</p>	
<p>State Use Only:</p>	
<p>6. Date Received by State: _____ 7. State Application Identifier: _____</p>	
<p>8. APPLICANT INFORMATION:</p>	
<p>*a. Legal Name: North Carolina Department of Health and Human Services</p>	
<p>*b. Employer/Taxpayer Identification Number (EIN/TIN): 561636462</p>	
<p>*c. UCI: DKT3LLBWFVL3</p>	
<p>d. Address:</p> <p>*Street 1: 2025 Mail Service Center Street 2: _____ *City: Raleigh County/Parish: _____ *State: NC *Province: _____ *Country: USA: United States *Zip / Postal Code: 27699-2000</p>	
<p>e. Organizational Unit:</p> <p>Department Name: _____ Division Name: _____</p>	
<p>f. Name and contact information of person to be contacted on matters involving this application:</p> <p>Prefix: _____ *First Name: Samantha Middle Name: _____ *Last Name: Radel Suffix: _____ Title: Chief Budget Officer Organizational Affiliation: NC Division of Public Health *Telephone Number: 919.623.3312 Fax Number: _____ *Email: samantha.radel@dhhs.nc.gov</p>	

Application for Federal Assistance SF-424
*9. Type of Applicant 1: Select Applicant Type: A. State Government Type of Applicant 2: Select Applicant Type: Pick an applicant type Type of Applicant 3: Select Applicant Type: Pick an applicant type *Other (Specify)
*10. Name of Federal Agency: HUD
11. Catalog of Federal Domestic Assistance Number 20.106 CFDA Title: _____
*12. Funding Opportunity Number: _____ *Title: _____
13. Competition Identification Number _____ Title: _____
14. Areas Affected by Project (Cities, Counties, States, etc.): _____
*15. Descriptive Title of Applicant's Project State Administered HOPWA Program
Attach supporting documents as specified in agency instructions.

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
*a. Applicant: NC 002	*b. Program/Project: NC-ALL
Attach an additional list of Program/Project Congressional Districts if needed.	
17. Proposed Project:	
*a. Start Date: 06/01/2024	*b. End Date: 05/31/2027
18. Estimated Funding (\$):	
*a. Federal	\$ 4,126,940
*b. Applicant	\$ 0
*c. State	\$ 0
*d. Local	\$ 0
*e. Other	\$ 0
*f. Program Income	\$ 0
*g. TOTAL	\$ 4,126,940
19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on _____. <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
20. Is the Applicant Delinquent On Any Federal Debt?	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes", explain:	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 28, Section 1001) <input checked="" type="checkbox"/> ** I AGREE ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: _____	*First Name: Kody _____
Middle Name: H. _____	
*Last Name: Kinsley _____	
Suffix: _____	
*Title: Secretary, NC DHHS	
*Telephone Number: 919.855.4800	Fax Number: _____
* Email: secretary.grants@dhhs.nc.gov	
*Signature of Authorized Representative: _____	*Date Signed: 06/12/24 2:21 PM EDT

ASSURANCES - CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

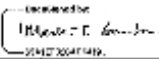
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal interest in the title of real property in accordance with awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
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19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL		TITLE	
 06/12/24 2:21 PM EDT		Secretary, NC DHHS	
APPLICANT ORGANIZATION		DATE SUBMITTED	
North Carolina Department of Health and Human Services		June 7, 2024	

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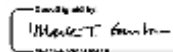
Housing Opportunities for Persons With AIDS Certifications

The State HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under the program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years, in the case of any building or structure purchased, leased, rehabilitated, renovated, or converted with HOPWA assistance,
2. For a period of not less than 3 years, in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.


Signature of Authorized Official

06/12/24 | 2:21 PM EDT
Date

Secretary, NC DHHS
Title